



DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>S0361</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>C</b> <b>06/11/2024</b>
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NAME OF PROVIDER OR SUPPLIER  <b>COBBLESTONE COURT ASSISTED LIVING</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>3187 140TH STREET SUMNER, IA 50674</b>
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A 150	<p>Continued From page 1</p> <p>indicated on 10/30/23 at 9:29 a.m. Tenant #1's family member called her to report Tenant #1 shared some disturbing things to family. She also said Staff A came into his apartment at 4:00 a.m. and woke him up. The family member said they had been aware of it for quite awhile, it had been going on for a long time and family thought it was innocent. They had not brought it up to administrative staff until today. The note indicated administrative staff was unaware of the visits. The family said at first he said she would keep him company, in the past week he said that he made her feel good. When family inquired what that meant he said Staff A and Tenant #1 "fooled around" and then he went back to sleep. Family shared they might go out for pizza with him, Staff A and a person associated with Staff A (non-employee). Management staff was aware that happened on occasion. Tenant #1 thought it was a friendship and was innocent until the past week.</p> <p>Further record review on 6/10/24 and 6/11/24 of Staff B's typed statement revealed on 10/30/23 she was asked to review video footage during Staff A's shifts. The recordings went back to 10/11/23. She reviewed the pendant system as well and determined Tenant #1 did not push his pendant for assistance when Staff A entered his apartment except on 10/27/23. On that date she entered his apartment at 4:15 a.m. and left within a minute. Tenant #1 pushed his pendant at 7:01 a.m. and Staff A responded to the pendant.</p> <p>Continued record review on 6/10/24 and 6/11/24 of the camera footage document indicated the following (camera review of the third shifts worked by Staff A):</p> <p>-On 10/11/23 Staff A entered Tenant #1's</p>	A 150		

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A 150	<p>Continued From page 2</p> <p>apartment at 4:13 a.m. to 4:33 a.m., 4:38 a.m. to 4:56 a.m. and 5:51 a.m. to 5:53 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p> <p>-On 10/12/23 Staff A entered Tenant #1's apartment at 4:02 a.m. to 4:58 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p> <p>-On 10/18/23 Staff A entered Tenant #1's apartment at 4:15 a.m. to 4:41 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p> <p>-On 10/19/23 Staff A entered Tenant #1's apartment at 4:03 a.m. to 5:31 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p> <p>-On 10/20/23 Staff A entered Tenant #1's apartment at 4:15 a.m. to 4:29 a.m., 4:46 a.m. to 4:55 a.m., 5:00 a.m. to 5:18 a.m. and 5:29 a.m. to 5:48 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p> <p>-On 10/21/23 Staff A entered Tenant #1's apartment at 4:15 a.m. to 5:00 a.m. and at 5:11 a.m. to 5:12 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p> <p>-On 10/27/23 Staff A entered at 4:15 a.m. to 4:15 a.m. Tenant #1 pushed his pendant at approximately 7:01 a.m. and Staff A entered Tenant #1's apartment at 7:02 a.m. to 7:07 a.m.</p> <p>-On 10/28/23 Staff A entered at 4:10 a.m. to 4:52 a.m. and 4:58 a.m. to 5:33 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p>	A 150		

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A 150	<p>Continued From page 3</p> <p>-On 10/29/23 Staff A entered at 4:07 a.m. to 5:27 a.m. There were no pendant calls for assistance from Tenant #1 at the that time.</p> <p>-On 10/30/23 Staff A (who was not working/not on shift) and a person associated with Staff A (non-employee) entered Tenant #1's apartment at 3:55 p.m. and left at 4:37 p.m.</p> <p>2. When interviewed on 6/11/24 at approximately 2:25 p.m. Tenant #1 said Staff A was a good friend and she would come to visit him on her day off. When she worked night shift he complained he was not able to talk to her or see her. She started coming into his apartment every morning before her shift was over. He said chatted and stuff and it was a good start of the day. He would go back to sleep after. He said people read into it. He said he asked to her to come in and see him on night shift. He said he had gone to tractor pulls with Staff A and a person associated her with her (non-employee). Tenant #1 said he still visited with her on the phone, uptown or at her house. He knew her current place of employment.</p> <p>When interviewed on 6/11/24 at 1:59 p.m. Staff B said the RN received a telephone call from Tenant #1's family and a concern had been brought up regarding Tenant #1 and Staff A. She was asked to look at the days Staff A worked and when she was entering and exiting the apartment. She entered Tenant #1's apartment on almost every shift she worked. She would enter the apartment about 4:00 a.m., she was in the apartment an extended period of time, over 20 minutes and there times it was an hour or hour and half. It was consistent every shift. The call system was checks to cross reference and it was</p>	A 150		

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A 150	<p>Continued From page 4</p> <p>not medication time or cares. There was one time Tenant #1 pushed his pendant at 5:00 a.m. or 6:00 a.m. and it was the only time he had called.</p> <p>When interviewed on 6/11/24 at 10:58 a.m. the RN said she had received a telephone call from one of Tenant #1's family members and it was shared that Staff A went into his apartment at 4:00 a.m. to visit with him. Tenant #1 told his family that he liked it when she came into his apartment, then family asked what did that mean and he said they messed around. At one point it was discussed that they were going to move back to his prior residence. The RN said it was reported to the Administrator and it was decided to review the cameras. When the footage was reviewed it revealed Staff A would go in around 4:00 a.m. and would stay from 15 to 20 minutes and at one point was in the apartment for one hour. One time she went in for a minute or two and then came back out. There were no pendant requests from Tenant #1 except one time. The RN confirmed Tenant #1 had no scheduled services on third shift. She said staff was aware Tenant #1 would go out to eat or to a family place to have pizza with Staff A and a person associated with Staff A (non-employee). The three of them would go and it occurred approximately every other week. She said per the policy and procedure staff were not to enter a tenant apartment without cares or pendant call and it was decided to terminate her employment. The RN said last week Tenant #1 told staff that he and his spouse were looking at a place in another area where Staff A worked.</p> <p>When interviewed on 6/11/24 at 9:43 a.m. and 4:25 p.m. the Administrator said Tenant #1's family had called the RN and reported family did</p>	A 150		

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A 150	<p>Continued From page 5</p> <p>not like how Tenant #1 talked to them about and referred to Staff A. Tenant #1 had told his family Staff A was his girlfriend and he shared inappropriate things they had done. They reported Staff A went to his apartment every morning at 4:00 a.m. Video footage was pulled and it revealed Staff A went to his apartment around 4:00 a.m. and was in the apartment between 5 to 30 minutes. A few times it was an hour and at times she went back and forth from his apartment. Every shift Staff A worked she went into his apartment. Tenant #1 pushed his pendant one time at 6:00 a.m. and she responded. The other times she went into his apartment and he had no scheduled services on third shift. After the video footage was reviewed, it was decided to terminate Staff A's employment. She said when staff were working they were not allowed to be tenant apartments hanging out. They needed to be providing cares and services. She said approximately one time per month Tenant #1 would go out with Staff A and a person associated with Staff A (non-employee).</p> <p>An attempt was made to interview Staff A; however, was not successful.</p> <p>3. Record review on 6/10/24 and 6/11/24 of Tenant #1's file revealed Tenant #1 was staged at a one on the Global Deterioration Scale and scored a zero on the Cognitive Ability Assessment, which indicated low risk for cognitive decline. The service plan dated 5/2/23 (in place at the time of the allegation) indicated Tenant #1 was able to use his pendant. The service plan did not reflect any routine scheduled cares to be provided on third shift.</p> <p>4. Record review on 6/10/24 and 6/11/24 of Staff A's file revealed she was hired on 10/20/21 and</p>	A 150		

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A 150	<p>Continued From page 6</p> <p>terminated on 10/31/23. A termination letter indicated she was terminated related to substantiated allegations related to an inappropriate relationship with a tenant. It was noted she and an person associated with Staff A (non-employee) were permanently prohibited from entering the premises. It also indicated she was not to be in contact with any tenants from the Program. It was signed by the Administrator, the RN, Staff A and Staff B.</p> <p>Continued record review revealed the Program's Employee Handbook revealed a policy related to Resident Interaction. It noted that no employees should be in a tenant's apartment during work hours unless they were on assignment, resolving a problem or housekeeping. It was noted personal visits were not allowed during hours of work. Staff A signed a receipt of the Employee Handbook on 10/21/21 and 11/19/21.</p> <p>5. When interviewed on 6/11/24 at 4:25 p.m. the Administrator she thought Staff A followed the policy (Resident Interaction); however after the telephone call from Tenant #1's family they learned Staff A failed to follow policy and procedure.</p>	A 150		