

DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: S0266	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED C 03/15/2022
NAME OF PROVIDER OR SUPPLIER ROSE OF WATERLOO		STREET ADDRESS, CITY, STATE, ZIP CODE 421 OAK AVENUE WATERLOO, IA 50703		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	Initial Comments Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site. Number of tenants without cognitive disorder: 46 Number of tenants with cognitive disorder: 2 Total census of Assisted Living Program: 48 No regulatory insufficiencies were cited during the investigation of Incident # 99984-I. The following regulatory insufficiency was cited during the recertification conducted to determine compliance with certification for an Assisted Living Program.	A 000		
A 355	481-69.26(2) Service Plans 69.26(2) Prior to the tenant's signing the occupancy agreement and taking occupancy of a dwelling unit, a preliminary service plan shall be developed by a health care professional or human service professional in consultation with the tenant and, at the tenant's request, with other individuals identified by the tenant, and, if applicable, with the tenant's legal representative. All persons who develop the plan and the tenant or the tenant's legal representative shall sign the plan. This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to implement a preliminary service plan prior to the tenant signing the occupancy agreement for 3 out of 5 tenants reviewed	A 355	See attached Plan of Correction for Statement of Deficiencies	

DIVISION OF HEALTH FACILITIES - STATE OF IOWA

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

STATE FORM

The Rose of Waterloo, L.P.

6899

26XW11

If continuation sheet 1 of 2

By: Ever Green Real Estate Development Corporation, Gen. Mgr.

By:  President
Gregory A. McClenahan

04-25-2022

DEPARTMENT OF INSPECTIONS AND APPEALS

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A 355	Continued From page 1 (Tenant #1, Tenant #4, and Tenant #5). Findings include: On 3/15/22 a review of Tenant #1's record revealed an admission date of 9/1/21. The record showed after initial health, functional, and cognitive evaluations were completed, a service plan was created and signed on 9/2/21. Tenant #1 signed his occupancy agreement on 9/1/21, prior to the assessments and the service plan being put in place. On 3/15/22 a review of Tenant #4's record revealed an admission date of 10/15/21. The record showed after initial health, functional, and cognitive evaluations were completed, a service plan was created and signed on 10/19/21. Tenant #4 signed her occupancy agreement on 10/15/21, prior to the assessments and the service plan being put in place. On 3/15/22 a review of Tenant #5's record revealed an admission date of 8/6/21. The record showed after initial health, functional, and cognitive evaluations were completed, a service plan was created and signed on 8/10/21. Tenant #5 signed his occupancy agreement on 8/6/21, prior to the assessments and the service plan being put in place. On 3/15/22 at 11:30 am, the Open Arms Health Care Coordinator confirmed the above findings.	A 355		

**PLAN OF CORRECTION FOR STATEMENT OF DEFICIENCIES
FACILITY RECERTIFICATION INSPECTION**

April 25, 2022

Facility Name: The Rose of Waterloo, L.P.

Street Address, City, ZIP: 421 Oak Avenue, Waterloo, IA 50703

Facility Cert Number: S0266

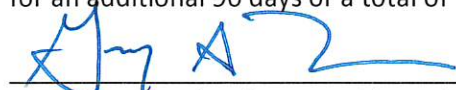
Exit Date: 03/15/2022

481 IAC 69.26(2) Service Plans Prior to the tenant's signing the occupancy agreement and taking occupancy of a dwelling unit, a preliminary service plan shall be developed by a health care professional or human service professional in consultation with the tenant and, at the tenant's request, with other individuals identified by the tenant, and if applicable, with the tenant's representative. All persons who develop the plan and the tenant or the tenant's legal representative shall sign the plan.

Completion Date: 05/06/2022

Narrative: Upon investigation, it has been determined that the failure to develop a service plan contemporaneous with the initial ALF occupancy assessment and the tenant's signing the occupancy agreement was unique to the administration of the recent administrator of Open Arms – Waterloo, the ALF service provider. Such failure is a departure from the practices that is expected of the service provider and the practices that should be the standard at Rose ALFs. This situation and the need for compliance will be communicated to all Rose Service Providers and a specific in service will be conducted at the Rose of Waterloo by the current contingent administrator. Open Arms is currently under the administration of a contingent administrator (Diane Murphy). A replacement administrator has been hired and commences employment on June 1, 2022. This element of service administration will be emphasized in the orientation and training of the new permanent administrator.

Recurrence will be avoided by monitoring each new Rose occupancy in the next 90 days to assure the completion of the preliminary service prior to the tenant's signing the occupancy agreement. Any deficiency in timely creation of the preliminary service plan will be noted and will warrant a further audit for an additional 90 days or a total of 180 days.



Gregory A. McClenahan, President of Gen. Ptr.



Dated