DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	S0259	A. BUILDING: _____ B. WING _____	C 04/15/2026

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
RIVER VALLEY PLACE OF OTTUMWA	173 EAST ROCHESTER STREET OTTUMWA, IA 52501

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	Initial Comments Assisted Living Programs for People with Dementia are defined by the population served. The census numbers were provided by the Program at the time of the on-site. Tenants without cognitive impairment: 18 Tenants with cognitive impairment: 12 Total census: 30 No regulatory insufficiencies were cited during the investigation of Incident #131027-I and Complaint #131692-C. The following regulatory insufficiencies were cited during the recertification visit conducted to determine compliance with certification rules for an Assisted Living Program for People with Dementia.	A 000		
A 231	481-69.22(2) Evaluation of Tenant 481-69.22(231C) Evaluation of tenant. 69.22(2) Evaluation within 30 days of occupancy. A program shall evaluate each tenant's functional, cognitive and health status within 30 days of occupancy. The evaluation shall be conducted by a health care professional, a human service professional, or a licensed practical nurse via nurse delegation. This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to complete an evaluation within 30 days of occupancy for 1 of 4 tenants reviewed. (Tenant #4). Finding follows:	A 231		

DIVISION OF HEALTH FACILITIES - STATE OF IOWA
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE TITLE (X6) DATE

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A 231	Continued From page 1 Record review on 4/15/26 revealed Tenant #4 admitted to the Program on 3/4/26 and resided in the Program's memory care unit. Review within the Program's ALIS electronic health record system revealed no functional, cognitive, and health evaluation were completed for Tenant #4 as required following 30 days of occupancy. During an interview on 4/15/26 at 4:30 p.m. the Regional Director of Operations (RDO) acknowledged no 30-day functional, cognitive, and health evaluations were completed for Tenant #4 and was unsure why the Vice President (VP) of Clinical who had been the acting nurse at the Program hadn't completed any, but the VP of Clinical had been terminated on 4/14/26. During the exit conference on 4/15/26 at 5:00 p.m., the RDO and the Executive Director confirmed the above findings.	A 231		
A 282	481-69.26(3) Service Plans 481-69.26(231C) Service plans. 69.26(3) When a tenant needs personal care or health-related care, the service plan shall be updated within 30 days of the tenant's occupancy and as needed with significant change, but not less than annually. The service plan shall be signed by all parties, including the tenant or tenant's legal representative. This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to update a 30-day service plan	A 282		

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A 282	Continued From page 2 for 1 of 4 tenants reviewed (Tenant #4) and failed to update a service plan following a significant change evaluation for 1 of 4 tenants reviewed (Tenant #2). Findings follow: 1. Record review on 4/15/26 revealed Tenant #4 admitted to the Program on 3/4/26 and resided in the Program's memory care unit. Review within the Program's ALIS electronic health record system revealed no 30-day service plan was on file. During an interview on 4/15/26 at 4:30 p.m., the Regional Director of Operations (RDO) acknowledged no 30-day service plan for Tenant #4 was on file and it appeared one had not been completed. 2. Record review on 4/15/26 revealed Tenant #2 admitted to the Program on 8/13/25. The Program last completed a comprehensive evaluation dated 11/18/25 due to a significant change of condition, but no corresponding service plan was on file. Tenant #2's last service plan on file was dated 10/17/25. During an interview on 4/15/26 at 4:30 p.m., the RDO acknowledged no service plan for Tenant #2 following the significant change on 11/18/25 was on file and it appeared one had not been completed. During the exit conference on 4/15/26 at 5:00 p.m., the RDO and the Executive Director confirmed the above findings.	A 282		
A 283	481-69.26(3)a Service Plans 481-69.26(231C) Service plans.	A 283		

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A 283	Continued From page 3 69.26(3)When a tenant needs personal care or health-related care, the service plan shall be updated within 30 days of the tenant's occupancy and as needed with significant change, but not less than annually. The service plan shall be signed by all parties, including the tenant or tenant's legal representative. a. If a significant change does not exist, the program may, after nurse review, add minor discretionary changes to the service plan without a comprehensive evaluation and without obtaining signatures on the service plan. This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to ensure service plans related to significant change were signed and dated by all parties for 2 of 4 tenants reviewed (Tenant #2 and Tenant #3). Findings follow: Record review on 4/15/26 revealed: 1. Tenant #2 admitted to the Program on 8/13/25. A service plan dated 10/17/25, noted a change of condition was not signed or dated by the Program's nurse, the tenant, and/or the tenant's legal representative. 2. Tenant #3 admitted to the Program on 7/22/25. A service plan dated 1/11/26 noted a change of condition was not signed or dated by the Program's nurse, the tenant, and/or the tenant's legal representative. During an interview on 4/15/26 at 4:30 p.m., the Regional Director of Operations (RDO) acknowledged the service plans for Tenants #2 and #3 were not signed and dated as required.	A 283		

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A 283	Continued From page 4 During the exit conference on 4/15/26 at 5:00 p.m., the RDO and the Executive Director confirmed the above findings.	A 283		
A 293	481-69.27(2)c Nurse Review 481-69.27(231C) Nurse review. 69.27(2) If a tenant receives personal or health-related care, the program shall provide for a registered nurse or a licensed practical nurse via nurse delegation: c. To assess and document the health status of each tenant, to make recommendations and referrals as appropriate, and to monitor progress relating to previous recommendations at least every 90 days and whenever there are changes in the tenant's health status; This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to complete nurse reviews at least every 90 days for 2 of 4 tenants reviewed (Tenant #2 and Tenant #3). Findings follow: 1. Record review on 4/15/26 revealed Tenant #2 admitted to the Program on 8/13/25. The Program last completed a comprehensive evaluation dated 11/18/25 due to a significant change and should have been due for a 90-day quarterly review by 2/18/26 but the nurse review could not be located. During an interview on 4/15/26 at 2:10 p.m., the Regional Director of Operations (RDO)	A 293		

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A 293	Continued From page 5 acknowledged she was unable to locate a 90-day nurse review for Tenant #2 and indicated it appeared one had not been completed. 2. Record review on 4/15/26 revealed Tenant #3 admitted to the Program on 7/22/25. The Program last completed a comprehensive evaluation dated 1/11/26 due to a significant change and should have been due for a 90-day quarterly review by 4/11/26 but the nurse review could not be located. During an interview on 4/15/26 at 3:47 p.m., the RDO acknowledged she was unable to locate a 90-day nurse review for Tenant #3 and indicated it appeared one had not been completed. During the exit conference on 4/15/26 at 5:00 p.m., the RDO and the Executive Director confirmed the above findings.	A 293		