DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	S0223	A. BUILDING: _____ B. WING _____	C 09/20/2022

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
ROSE OF DES MOINES	1330 19TH STREET DES MOINES, IA 50314

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	Initial Comments Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site. Number of tenants without cognitive disorder: 37 Number of tenants with cognitive disorder: 0 Total population of Program at time of on-site: 37 No regulatory insufficiencies were cited during the investigation of Complaint #104458-C. The following regulatory insufficiencies were cited during the recertification visit conducted to determine compliance with certification rules for an Assisted Living Program.	A 000		
A 400	481-67.19(3) Record Checks 67.19(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state. This REQUIREMENT is not met as evidenced by: Based on interview and record review the Program failed to complete child and dependent adult abuse background checks prior to employment for 1 of 4 nursing staff reviewed (Staff A). Findings follow: Review of Staff A's file on 9-19-22 revealed a hire date of 8-9-21. A Single Contact License and Background Check completed 8-11-21 revealed a	A 400	See attached response and Plan of Correction	

DIVISION OF HEALTH FACILITIES - STATE OF IOWA

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE

DEPARTMENT OF INSPECTIONS AND APPEALS

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A 400	Continued From page 1 possible criminal history. No further check was completed to confirm any criminal history. The Registered Nurse confirmed these findings on 9-20-22 at 10:40 a.m.	A 400		
A 435	481-67.19(5) Record Checks 67.19(5) Employment prohibition. A person who has committed a crime or has a record of founded child or dependent adult abuse shall not be employed in a program unless an evaluation has been performed by the department of human services. This REQUIREMENT is not met as evidenced by: Based on interview and record review the Program failed to request an evaluation from the Department of Human Services as warranted for 1 of 1 staff reveiwed convicted of a crime (Staff B). Findings follow: Review of Staff B's file on 9-20-22 revealed a hire date of 5-18-22. A Single Contact License and Background Check completed 5-12-21 revealed a criminal history. No evaluation from the Department of Human Services could be located. The Administrator confirmed these findings on 9-20-22 at 11:03 a.m.	A 435	See attached response and Plan of Correction	

The Rose of Des Moines, L.P.
By: EverGreen Real Estate Development Corp., Gen. Ptr.
By: _____, President
Gregory A. McClenahan
01-11-2023

Response to Statement of Deficiencies - Plan of Correction
Recertification visit – 09-20-2022
DATED 01-10-2023

A 400 - 481-67.19 (3) Record Checks – Employer must request criminal history and dependent adult abuse background check prior to employing an individual.

Plan of Correction: The program (Rose of Des Moines) and contracted service provider (Open Arms Home Health Care – Des Moines) have a new employee checklist that conforms to the program regulation for new employees. The checklist was not appropriately followed in the hiring process for the employee referenced. The regional Rose director and the Rose of Des Moines Administrator and the Open Arms Administrator and the Open Arms - Des Moines clinical manager will provide an in service for all staff who participate in the new employee process. The specifics of running background checks will be emphasized along with identification of the appropriate website and forms. This in service will be completed by January 27, 2023, and documented with an attendance list for persons at the in service.

The new employee checklists for the Rose of Des Moines and Open Arms Home Health Care – Des Moines are attached. The accuracy of the processes has been confirmed. The checklist will be used at the in service referenced above.

A 435 – 481-67.19(5) Record Checks – A person who has a criminal record or a record of founded child or dependent adult abuse shall not be employed unless DHS has evaluated and approved the person for hire by the program.

Plan of Correction: The program (Rose of Des Moines) and the contracted service provider (Open Arms Home Health Care – Des Moines) have a new employee checklist that conforms to the program regulation for new employees. The checklist was not appropriately followed in the hiring process for the employee referenced. The regional Rose director and the Rose of Des Moines Administrator and the Open Arms Administrator and the Open Arms - Des Moines clinical manager will provide an in service for all staff who participate in the new employee process. The specifics of running background checks will be emphasized along with identification of the appropriate website and forms. The DHS request for approval form will be included the in service. This in service will be completed by January 27, 2023, and documented with an attendance list for persons at the in service.

The new employee checklists for the Rose of Des Moines and Open Arms Home Health Care – Des Moines are attached. The accuracy of the processes has been confirmed. The checklist will be used at the in service referenced above.

ok 1/12/23