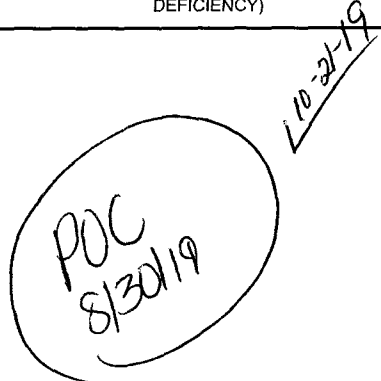


STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IAALP103	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED 08/06/2019
NAME OF PROVIDER OR SUPPLIER LUTHERAN HOME APARTMENTS		STREET ADDRESS, CITY, STATE, ZIP CODE 1413 2ND AVE VINTON, IA 52349		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	Initial Comments Assisted living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site. Number of tenants without cognitive disorder: 18 Number of tenants with cognitive disorder: 0 TOTAL census of Assisted Living Program: 18 The following regulatory insufficiency was cited during the recertification visit conducted to determine compliance with certification for an Assisted Living Program:	A 000		
A 118	481-67.19(3) Record Checks 481-67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks. 67.19(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state. This Requirement is not met as evidenced by: Based on interview and record review the Program failed to obtain a completed criminal history background check and abuse registry background check prior to staff employment. This pertained to 1 of 6 staff reviewed (Staff A). Findings follow: Record review on 8-6-19 of Staff A's employment	A 118		All potential employees of the Lutheran Home Apartments will complete a criminal history check and child and dependent adult abuse record check prior to employment. If there is a hit on the potential employee's report, the potential employee will not be hired until the reports are clear. This process will begin Thursday, August 29, 2019. After the Corporate Office of the Lutheran Home Apartments has received the cleared reports of the potential employee, the employee can be hired and begin orientation with the Lutheran Home Apartments. The Corporate Office staff will provide the Lutheran Home Apartments with a copy of both cleared reports. The Office Manager of the Lutheran Home Apartments will make sure she has a copy of the reports and will file these before orientation begins. The Office Manager of the Lutheran Home Apartments will utilize a New Employee Worksheet that has a check list of all steps that must be completed prior to a hiring a new employee. The Office Manager will initial and date when these tasks are completed. All staff will be educated about this process before it begins. This process will begin on Thursday, August 30, 2019.

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: IAALP103	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED 08/06/2019
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A 118	Continued From Page 1 and training information revealed a a hire date of 9-14-17. A criminal history background check and abuse registries background check was completed on 9-22-17 and revealed no results were found. The background check was completed after Staff A's date of hire. Continued record review revealed Staff A had a Certificate of Attendance for two hours of dependent adult abuse training dated 9-14-17. Further record review revealed a Duplicate Pay Stub and handwritten document provided by the Program revealed Staff A's first pay period of work was 9-11-17 to 9-25-17. During that pay period Staff A worked 55 hours. When interviewed on 8-6-19 at 2:30 p.m. and 2:57 p.m. Staff B revealed Staff A was a shared employee between activities at the nursing facility and a billing position at the Program. Staff A worked 55 hours in the first pay period (9-11-17 to 9-25-17). When interviewed on 8-6-19 at 4:27 p.m. the Administrator revealed Staff A was hired as a joint employee between the nursing facility and the Program and did not provide direct care to tenants. All background check information for Staff A was provided.	A 118	The Office Manager of the Lutheran Home Apartments will ensure compliance by getting a copy of the criminal history check and dependent adult abuse record check before any employee is hired and prior to orientation. The Office Manager will complete the New Employee Worksheet to ensure all proper steps are completed prior to employment. QA monitors will occur monthly for one year to ensure compliance beginning August 30, 2019.	