

DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: S0259	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED 07/15/2019
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NAME OF PROVIDER OR SUPPLIER PRAIRIE HILLS AT OTTUMWA	STREET ADDRESS, CITY, STATE, ZIP CODE 173 EAST ROCHESTER STREET OTTUMWA, IA 52501
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	<p>Initial Comments</p> <p>Assisted Living Programs for People with Dementia are defined by the population served. The census numbers were provided by the Program at the time of the on-site.</p> <p>General Population Number of tenants without cognitive disorder: 25 Number of tenants with cognitive disorder: 6</p> <p>Memory Care Unit Number of tenants without cognitive disorder: 0 Number of tenants with cognitive disorder: 10</p> <p>Total Census of Assisted Living Program for People with Dementia : 41</p> <p>The following regulatory insufficiencies were cited during the recertification visit conducted to determine continued compliance with the certification rules for an Assisted Living Program for People with Dementia (ALP/D).</p>	A 000	<p>✓ 8/20/19</p>	
A 118	<p>481-67.19(3) Record Checks</p> <p>481-67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks.</p> <p>67.19(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</p>	A 118		

DIVISION OF HEALTH FACILITIES - STATE OF IOWA LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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[Signature] 8/16/19

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A 118	<p>Continued From page 1</p> <p>This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to request background checks prior to employment for 2 of 8 staff reviewed (Staff D, Staff G). Findings follow:</p> <p>A review of personnel files on 7/15/19 revealed Staff D was hired on 2/1/18. There was no documentation criminal history, child or dependent adult abuse record checks were completed at any time.</p> <p>Staff G had a hire date of 5/8/18. Background checks were completed for Staff G on 5/10/18.</p> <p>The Executive Director confirmed these findings on 7/15/19 at 3:45 PM. She reported she had searched for Staff D's background check but could not find one. Record checks would be completed for this employee. The Executive Director stated Staff G's late background check was an oversight.</p>	A 118	<p>Our new General Orientation form ensures nothing is missed, and is within the required timeline. The Department Manager, Business Office Manager and the Director are all confirming documentation is in place for all new hires.</p> <p><i>A Wickenkamp</i> 7.31.19</p>	7-16-19
A 124	<p>481-67.19(4) Record Checks</p> <p>481-67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks.</p> <p>67.19(4) Validity of background check results. The results of a background check conducted pursuant to this rule shall be valid for a period of 30 calendar days from the date the results of the background check are received by the program.</p>	124		

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FOR APPROVAL

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A 124	<p>Continued From page 2</p> <p>This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to ensure background checks were valid for 1 of 8 staff reviewed (Staff B). Findings follow:</p> <p>A review of employee files on 7/15/19 revealed Staff B had a hire date of 4/3/18. Her background checks were completed on 2/15/18 which was more than 30 calendar days prior to hire.</p> <p>The Executive Director confirmed this finding during an interview on 7/15/19 at 3:45 PM.</p>	A 124		