DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	S0333	A. BUILDING: _____ B. WING _____	08/29/2017

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
HOMESTEAD OF ALBIA	6590 165TH STREET ALBIA, IA 52531

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	481-67 Initial Comments Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site. Dementia-Specific Program by Definition Number of tenants without cognitive disorder: 21 Number of tenants with cognitive disorder: 6 Total Population of Program at time of on-site: 27 TOTAL census of Assisted Living Program: 27 The following regulatory insufficiency was cited during the Recertification conducted to determine compliance with certification for an Assisted Living Program.	A 000	See attached POC 9/18/17	
A 118	481-67.19(3) Record Checks 481-67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks. 67.19(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state. This REQUIREMENT is not met as evidenced by: Based on interview and record review, the Program failed to complete required further evaluation of criminal, dependent adult abuse,	A 118		

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A 118	Continued From page 1 and child abuse record checks as warranted prior to employment. This pertained to 1 of 6 staff files reviewed. Finding follows: Record review on 8-28-17 revealed Staff A's file indicated criminal, dependent adult abuse and child abuse record checks completed on 7-6-17. The record check indicated further research necessary for clearance of the Criminal History Background Check. The Program failed to complete any further research. The Program hired Staff A 7-6-17. When interviewed on 8-28-17 at 1:22 p.m., the Executive Director confirmed the Program failed to complete required research needed to obtain clearance to work for Staff A prior to employment.	A 118		

September 18, 2017

To whom it may concern,

The following is the plan of correction for Homestead of Albia recertification visit on 8/28/17 by Margaret Kaltefleiter. The regulatory insufficiency was citied under 481-67.19(3). The file in question was corrected during the visit on 8/28/17 prior to the exit of the surveyor. From today on the regulation 481-67.19(3) will be followed regarding employee background checks.

1. All applicants will have a criminal history records check performed by the Executive Director. If findings indicate further research is required, the Executive Director will complete the further evaluation that is necessary prior to employment.
2. A secondary management staff will review all background checks completed.
3. A flow sheet will be implemented with applicant's name, date of background check completion, and secondary staff member's name.
4. The background check process will be under Quality Assurance monitoring until December 20, 2017.

Sincerely,

Kim Wilson, Executive Director

Homestead of Albia