DEPARTMENT OF INSPECTIONS AND APPEALS

| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | S0285 | A. BUILDING: _____ B. WING _____ | C 04/26/2017 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| SUNNYBROOK OF CARROLL | 1214 EAST 18TH STREET CARROLL, IA 51401 |

| (X4) ID PREFIX TAG | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION) | ID PREFIX TAG | PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) | (X5) COMPLETE DATE |
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| A 000 | 481-67 Initial Comments<br><br>Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.<br><br>General Population Program<br><br>Number of tenants without cognitive disorder: 26<br>Number of tenants with cognitive disorder: 0<br>Total Population of Program at time of on-site: 26<br><br>Dementia-Specific Program by Dedication<br><br>Number of tenants without cognitive disorder: 1<br>Number of tenants with cognitive disorder: 13<br>Total Population of Program at time of on-site: 14<br><br>TOTAL census of Assisted Living Program: 40<br><br>The following regulatory insufficiency was cited during the investigation of Complaint #67400-C. | A 000 | J Keller 5/30/17<br><br>See attached Plan of Correction 5/25/17 | |
| A 012 | 481-67.3(1) Tenant Rights<br><br>481-67.3 Tenant rights. All tenants have the following rights:<br>67.3(1) To be treated with consideration, respect, and full recognition of personal dignity and autonomy.<br><br>This REQUIREMENT is not met as evidenced by:<br>Based on observation, interview and record review the Program failed to ensure tenants on | A 012 | | |

DIVISION OF HEALTH FACILITIES - STATE OF IOWA
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE

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| A 012 | Continued From page 1<br>the Memory Care Unit were treated with consideration and respect in regards to the community cat who lived on the unit. This affected at least 8 of the 14 tenants residing in the unit. Findings include:<br><br>On 4/24/17 at 3:30 p.m. the cat (Midnight) was observed running about the Unit passing through the dining room area. The cat followed the Surveyor into Tenants #1's room. Staff B immediately removed the cat from Tenant #1's room as she knew the tenant did not like cats.<br><br>On 4/24/17 at 3:45 p.m. Tenant #1 confirmed he/she did not like the cat in their room. Although tenants had been told to keep their doors shut the cat often snuck in when the tenant tried to enter or exit their room. Tenant #1 also reported the cat went under the dining room table when tenants ate and had been seen on top of the dining room table at other times.<br><br>On 4/24/17 at 4:10 p.m. Staff B reported Tenant #1 had fallen while trying to kick at the cat. Interview with a family member on 4/25/17 at 3:48 p.m. confirmed Tenant #1 had fallen a couple of weeks prior while trying to kick the cat. An incident report dated 3/24/17 at 2:55 p.m. documented Tenant #1 was found on the floor near their room yelling for help. The tenant stated he/she fell and hit their head on the floor when trying to kick at the cat. Tenant #1's occupancy agreement signed on 6/11/15 contained no information concerning community pets owned by the Program.<br><br>On 4/25/17 at 3:35 p.m. observation through the open door of room 311 revealed the cat sitting in Tenant #9's chair. The tenant was not in the room at the time. Tenant #9 later reported not liking the | A 012 | | |

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| A 012 | Continued From page 2<br>cat and not wanting it in their room.<br><br>On 4/25/17 at 2:14 p.m. interview with Staff F revealed the cat had been observed on the dining room table, on the kitchen counter and in the laundry basket with the clean linens. He reported witnessing the cat lick the silverware and cups that sat on the dining room table. The cat stepped over the tenants' napkins and silverware as it walked about the table. The staff confirmed there were several of the tenants that did not like the cat.<br><br>On 4/25/17 at 3:03 p.m. interview with Staff E revealed the overnight shift set the table for breakfast. She confirmed seeing the cat on the table. Staff E stated the cat often tried to follow her into tenant rooms. She reported seeing tenants attempt to strike the cat with their canes and walkers when the cat was in their area.<br><br>On 4/26/17 at 10:35 a.m. interview with Tenant #2's family member revealed they had seen the cat on top of the dining room table two to three times at different times of the day as well as on the countertop and sink in the kitchen.<br><br>On 4/26/17 at 10:51 a.m. Staff G confirmed witnessing the cat licking cups and silverware after tenants were done eating. She also stated the cat followed her into tenant rooms when passing medications.<br><br>On 4/26/17 at 11:44 a.m. interviews with 13 of the 14 tenants revealed the following responses:<br>- Tenant #1 didn't like dogs or cats in the house.<br>- Tenant #2 was okay with the cat living there.<br>- Tenant #3 said the cat was okay.<br>- Tenant #4 had no feelings either way about the cat. | A 012 | | |

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| A 012 | Continued From page 3<br>- Tenant #5 reported being allergic to the cat and would rather it not live at the facility. (The allergy could not be confirmed in a review of the medical record although staff confirmed the family had reported the allergy to them.)<br>- Tenant #6 thought the cat should live in a barn, not the house.<br>- Tenant #7 didn't like the cat in their room.<br>- Tenant #8 loved the cat.<br>- Tenant #9 didn't like the cat and didn't want it in their room.<br>- Tenant #10 didn't like the cat in the house.<br>- Tenant #11 was okay with the cat.<br>- Tenant #12 would just as soon not have the cat around.<br>- Tenant #13 reported the cat jumped on their walker and drank out of the glass of water sitting there.<br>- Tenant #13 reported the cat had jumped up on the dining room table and drank from their glass of water.<br>- Tenant #14 was not interviewed.<br><br>On 4/26/17 at 8:30 a.m. review of the facility policy concerning community pets revealed staff were to make every effort to keep the pets away from the tenants who didn't like them.<br><br>Review of the Resident Handbook on 4/26/17 at 12:30 p.m. revealed tenants had the right to be treated with consideration. Pets owned by the tenants residing in the community were not to disturb other tenants. Tenants were to also refrain from having their pets in the dining area. The Resident Handbook failed to include information concerning community pets owned by the Program.<br><br>On 4/26/17 at 4:15 p.m. Staff A confirmed these findings. | A 012 | | |

May 22 2017

To Whom it may concern,

This is the plan of correction for Sunny Brook at Carroll in response to DIA complaint #67400 and investigation dated 4/24/17 to 4/26/17.

The program received a RI regarding-Tenants Rights regarding the community cat.

1. The program corrected the RI by removing the cat from the MC unit.
2. This will not recur because there will no longer be a cat in the MC unit.
3. When another cat/dog is placed in the community they will not be kept in the MC unit, the pet will have a specific care plan on who is responsible to care for it along with a check list to ensure staff are abiding this. ( this includes feeding and taking outside etc.) A kennel will be purchased and used to keep the pet during events and at meal times.
4. The cat has been removed from the MC unit as of 5/22/17.
5. Community Pets are to be treated with the same rules as the residents owned pets as in the resident handbook.

Please let me know if there is anything else you need.

Thanks

Tina Patterson

712 792 8995