

TERRY E. BRANSTAD
GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

KIM REYNOLDS
LT. GOVERNOR

May 26, 2016

Mr. Rob Gotto, Director
Landsmeer Ridge Retirement Community
1007 7th Street NE
Orange City, IA 51041**RE: Final Recertification Monitoring Evaluation Report – Landsmeer Ridge Retirement Community, Orange City, IA**

Dear Mr. Gotto:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481–67 and 481–69, following a survey by DIA on **May 19, 2016**. The Report notes Regulatory Insufficiency in the area(s) of: Record checks.

Each Regulatory Insufficiency requires that the Program submit a written Plan of Correction (POC).

In preparing the POC, please use the tenant identifiers from the Report, i.e. Tenant #1, when reference is made to specific tenants. If your response includes a specific tenant's assessment or service plan, it is the Program's responsibility to conceal the tenant's name in order to maintain the tenant's anonymity. Your response shall include the following:

1. Elements detailing how the Program will correct each regulatory insufficiency.
2. What measures will be taken to ensure the problem does not recur.
3. How the Program plans to monitor performance to ensure compliance.
4. The date by which the regulatory insufficiency will be corrected.

Please note that all regulatory insufficiencies must be **corrected within 30 days of the date of the exit conference**; however, there may be situations where the timeframe may be shortened or lengthened, at the discretion of the department.

The POC must be submitted/emailed to DIA to my attention within ten (10) working days of receipt of this letter. It may be necessary for DIA to revisit the Program to confirm progress in fulfilling the POC's corrective measures.

As provided by IAC rule 481-67.14, you are afforded one opportunity to refute cited regulatory insufficiencies through the informal conference process. A request for an informal conference or a contested case hearing must be made within 20 working days of the notice or service of this letter and the final report. Please refer to rule 67.14 for more information.

If you have any questions in regard to this report, please contact me at 515/281-7039 or **Rose.Boccella@dia.iowa.gov**

Sincerely,

Rose Boccella

Rose Boccella
Program Coordinator
Adult Services Bureau

Enclosure

DEPARTMENT OF INSPECTIONS AND APPEALS

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: S0037	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 05/19/2016
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NAME OF PROVIDER OR SUPPLIER LANDSMEER RIDGE RETIREMENT COMM	STREET ADDRESS, CITY, STATE, ZIP CODE 1007 7TH STREET NE ORANGE CITY, IA 51041
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
A 000	<p>481-67 Initial Comments</p> <p>Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.</p> <p>General Population Program Number of tenants without cognitive disorder: 25 Number of tenants with cognitive disorder: 1 Total Population of Program at time of on-site: 26</p> <p>TOTAL census of Assisted Living Program: 26</p> <p>The following insufficiency was cited during the recertification to determine compliance with certification for an Assisted Living Program:</p>	A 000		
A 124	<p>481-67.19(4) Record Checks</p> <p>481-67.19(135C,231B,231C,231D) Criminal, dependent adult abuse, and child abuse record checks.</p> <p>67.19(4) Validity of background check results. The results of a background check conducted pursuant to this rule shall be valid for a period of 30 calendar days from the date the results of the background check are received by the program.</p> <p>This REQUIREMENT is not met as evidenced by: Record review on 5/19/16 revealed Resident Associate (RA) B had a hire date of 1/19/16. Review of RA B's background check (SING) revealed a date of 12/11/2015.</p> <p>When interviewed on 5/19/16 at 1:20 p.m., the</p>	A 124		

DIVISION OF HEALTH FACILITIES - STATE OF IOWA
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X6) DATE _____

DEPARTMENT OF INSPECTIONS AND APPEALS

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A 124	Continued From page 1 Program Administrator confirmed RA B's background check had been completed over 30 days prior to the date of hire.	A 124		



**Landsmeer Ridge
Retirement Community**

1007 7th St. NE
Orange City, IA 51041

Plan of Correction

A. Record Checks: 481-67.19(4)

481-67.19(135C,231B,231C,231D): Criminal, dependent adult abuse, and child abuse record checks.

6719(4): Validity of background check results. The results of the background check conducted pursuant to this rule shall be valid for a period of 30 calendar days from the date the results of the background check are received by the program.

- ◆ Regulatory Insufficiency A 124: This REQUIREMENT is not met as evidenced by:
Record review on 5/19/16 revealed Resident Associate (RA) B had a hire date of 1/19/16. Review of RA B's background check (SING) revealed a date of 12/11/2015.

When interviewed on 5/19/16 at 1:20pm., the Program Administrator confirmed RA B's background check had been completed over 30 days prior to the date of hire.

- Plan of Correction: We have added a second check process for ensuring that criminal checks are re-done if there is more than a 30 day lapse from the original check before hire and the employee's start date.
 1. The Director of HR will tag the new hire sheet when they fill it out if HR needs to re-check the employee.
 2. At the time the HR Generalist enters the employee in payroll they too will check the date on the original criminal check and redo background check if appropriate.
 3. This process will be used with new hires beginning June 9, 2016.