

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

July 18, 2014

Chris Wolf, Administrator
Pioneer Place Assisted Living
415 East Pioneer Road
Lone Tree, IA 52755

**RE: Final Recertification Monitoring Evaluation Report – Pioneer Place
Assisted Living, Lone Tree, IA**

Dear Chris:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69, following a survey by DIA on **July 9, 2014**. The Report notes a Regulatory Insufficiency in the area(s) of: Food Service.

Each Regulatory Insufficiency requires that the Program submit a written Plan of Correction (POC).

In preparing the POC, please use the tenant identifiers from the Report, i.e. Tenant #1, when reference is made to specific tenants. If your response includes a specific tenant's assessment or service plan, it is the Program's responsibility to conceal the tenant's name in order to maintain the tenant's anonymity. Your response shall include the following:

1. Elements detailing how the Program will correct each regulatory insufficiency.
2. What measures will be taken to ensure the problem does not recur.
3. How the Program plans to monitor performance to ensure compliance.
4. The date by which the regulatory insufficiency will be corrected.

Please note that all regulatory insufficiencies must be **corrected within 30 days of the date of the exit conference**; however, there may be situations where the timeframe may be shortened or lengthened, at the discretion of the department.

The POC must be submitted/emailed to DIA to my attention within ten (10) working days of receipt of this letter. It may be necessary for DIA to revisit the Program to confirm progress in fulfilling the POC's corrective measures.

As provided by IAC rule 481-67.14, you are afforded one opportunity to refute cited regulatory insufficiencies through the informal conference process. A request for an informal conference must be made within 20 working days of the notice or service of this letter and the final report. Please refer to rule 67.14 for more information.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Also enclosed you will find the Assisted Living Program Certificate **S0061** with effective dates of **February 5, 2014** through **February 4, 2016**.

If you have any questions in regard to this report, please contact me at 515/281-7039 or **Rose.Boccella@dia.iowa.gov**

Sincerely,

Rose Boccella

Rose Boccella
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Chris Wolf, Administrator
Pioneer Place Assisted Living
415 East Pioneer Road
Lone Tree, IA 52755

Date of Monitoring Visit:

July 9, 2014

Monitor(s):

Stephanie Cummins, MA

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	10
Number of tenants with cognitive disorder:	0
Total Population of Program at time of on-site	10
Dementia-Specific Program by Dedication	
Number of tenants without cognitive disorder:	5
Number of tenants with cognitive disorder:	2
Total Population of Program at time of on-site	7
TOTAL census of Assisted Living Program	17

Tenant/Family Satisfaction Results – A community meeting with five tenants was held. Housekeeping services were completed to their satisfaction. The buildings and grounds were safe, clean and sanitary. There were no concerns with housekeeping, laundry or maintenance. Nursing services were available and staff responded quickly when they requested assistance. The tenants received the services expected when the occupancy agreement was signed. There was enough staff to meet the needs of the tenants and they received all the cares requested. Staff was trained to provide the services needed. The tenants said staff was always willing to listen and was helpful. The tenants liked the food and there was a variety of foods offered. Staff served the tenants correctly and the temperature of the food was appropriate. The skin on the chicken had too much fat and the beef in the beef and noodles was tough. There was a choice offered if there was an item served the tenants did not like. There were enough activities offered and they received an activity calendar. The tenants enjoyed puzzles, Bingo, exercises and outings. Staff assisted the tenants to the attached nursing facility for activities if needed. The tenants could decline to participate in activities. They felt safe and made their own decisions. The tenants were generally satisfied with the Program and would recommend it to others. The tenants enjoyed the safety and the independence provided and also the “new family.”

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas.

A. Food Service

Monitoring Observation: Four staff files were reviewed.

Staff #1 served food and did not have an annual in-service on food protection.

Staff #2 served food and did not have an annual in-service on food protection.

A community meeting with five tenants was held. The tenants liked the food and there was a variety of foods offered. Staff served the tenants correctly and the temperature of the food was appropriate. A meal was observed and staff served the tenants appropriately.

Review of staff files indicated staff did not have an annual in-service on food protection.

Regulatory Insufficiency: Personnel who are employed by or contract with the program and who are responsible for food preparation or service, or both food preparation and service, shall have an orientation on sanitation and safe food handling prior to handling food and shall have an annual in-service on food protection. (IAC r. 481-69.28(5))