

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

July 8, 2014

Ms. Mary Grauerholz, Manager
Summit Heights Independent & Assisted Living
8 South Summit Avenue
Nora Springs, IA 50458

**RE: Final Recertification Monitoring Evaluation Report – Summit Heights
Independent & Assisted Living, Nora Springs, IA**

Dear Ms. Grauerholz:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481–67 and 481–69. **No Regulatory Insufficiencies were found during this evaluation.**

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0060** with effective dates of **February 14, 2014** through **February 13, 2016**.

If you have any questions in regard to this certification, please contact me at 515/281-7039 or **Rose.Boccella@dia.iowa.gov**.

Sincerely,

Rose Boccella

Rose Boccella
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Mary Grauerholz, Manager
Summit Heights Independent & Assisted Living
8 South Summit Avenue
Nora Springs, IA 50458

Date of Monitoring Visit:

June 23, 2014

Monitor(s):

Margaret Kaltefleiter, RN MS

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	<u>9</u>
Number of tenants with cognitive disorder:	<u>0</u>
Total Population of Program at time of on-site	<u>9</u>
TOTAL census of Assisted Living Program	<u>9</u>

Tenant/Family Satisfaction Results – A community meeting with eight tenants was held. The tenants liked living at the Program because of the fun they had, the care received and it was a secure place. Housekeeping services were completed to their satisfaction and the building and grounds were safe, clean and sanitary. Nursing services were available when needed and staff responded within five minutes or less when called for assistance. Care was described as excellent and the tenants said staff went beyond the call of duty and treated them like family. Staff knew what services to provide and was trained appropriately. The tenants said the food was good with a variety of meats, vegetables and desserts offered. Staff served appropriately and foods were served at the correct temperature. Plenty of activities were offered and the tenants didn't have any suggestions for additional activities. The tenants felt safe, made their own decisions, were generally satisfied with the Program and had recommended it to others. The tenants said management addressed all issues immediately when brought to their attention.

Program History – The program did not receive any regulatory insufficiencies during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas. **There were no regulatory insufficiencies found during this onsite recertification monitoring visit.**