

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

November 26, 2013

Mr. Sam Kuhn, Administrator
Thornton Heights Assisted Living
1329 Main Street
Lansing, IA 52151-9615

**RE: Final Recertification Monitoring Evaluation Report – Thornton Heights
Assisted Living, Lansing, IA**

Dear Mr. Kuhn:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481–67 and 481–69.

DIA has completed the review of your Plan of Correction (POC) in response to the **Preliminary Recertification Monitoring Evaluation Report**. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiency, DIA accepts your POC. The Final report is enclosed.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0183** with effective dates of **November 18, 2013** through **November 17, 2015**.

If you have any questions in regard to this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Sam Kuhn, Administrator
Thornton Heights Assisted Living
1329 Main St.
Lansing, Iowa 52151-9615

Date of Monitoring Visit:

August 13, 2013

Monitor(s):

Maribeth Freland RN

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	<u>11</u>
Number of tenants with cognitive disorder:	<u>0</u>
Total Population of Program at time of on-site	<u>11</u>
TOTAL census of Assisted Living Program	<u>11</u>

Tenant/Family Satisfaction Results – A community meeting was held with 7 tenants in attendance. The tenants reported general satisfaction with the program. They reported respectful staff interaction and timely response to calls made using emergency pendants. The tenants reported the food served by the program was palatable and the provision of scheduled activities plentiful. They reported the program's environment to be clean and safe, acknowledging they would recommend it to others.

Program History – The program received a regulatory insufficiency related to food service licensure during the course of the recertification monitoring visit conducted on 8-13-13.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas.

Food Service

Monitoring Observation: The program had all tenant meals prepared and delivered from an attached nursing facility. The program had an unlicensed kitchen in the assisted living that was used to store some food items used during tenant meal service and for ware-washing of dishes used during tenant meal service. Regulations allowed the program to utilize a commercial dishwasher for ware-washing and allowed storage of single-serving items only in an unlicensed kitchen. The program had a commercial dishwasher in the unlicensed assisted living kitchen. The program monitored temperatures and chemical levels in the dishwasher on a daily basis as required by regulation.

The program did not store single-serving items in the unlicensed assisted living kitchen. The monitor noted milk stored in a gallon jug, soy milk stored in a half gallon jug, juices and juice concentrate stored in quart to 64 ounce containers, cheese slices stored in bulk packaging, multiple salad dressings in multiple use containers, pudding in a large plastic container and condiments, such as olives, pickles and apple rings, stored in gallon plastic containers. All of the food items were stored in the refrigerator located in the assisted living unlicensed kitchen.

As the program was storing food items that did not meet the definition of single-serving, the assisted living kitchen was required by regulation to be licensed.

Regulatory Insufficiency: Programs engaged in the preparation and service of meals and snacks shall meet the standards of state and local health laws and ordinances pertaining to the preparation and service of food and shall be licensed pursuant to Iowa Code Chapter 137F. (IAC r. 481-69.28(6))