

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

May 17, 2013

Mr. Pat Zaugg, Administrator
Prairie Creek Assisted Living
301 4th Street NW
West Bend, IA 50597

**RE: Final Recertification Monitoring Evaluation Report – Prairie Creek
Assisted Living, West Bend, IA**

Dear Mr. Zaugg:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69.

DIA has completed the review of your Plan of Correction (POC) in response to the **Preliminary Recertification Monitoring Evaluation Report**. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiencies, DIA accepts your POC. The Final report is enclosed.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0173** with effective dates of **May 30, 2013** through **May 29, 2015**.

If you have any questions in regard to this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Pat Zaugg, Administrator
Prairie Creek Assisted Living
301 4th St. NW
West Bend, Iowa 50597

Date of Monitoring Visit:

April 22, 2013

Monitor(s):

Maribeth Freland RN

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	17
Number of tenants with cognitive disorder:	0
Total Population of Program at time of on-site	17
TOTAL census of Assisted Living Program	17

Tenant/Family Satisfaction Results – A community meeting was held with 12 tenants in attendance. The tenants reported general satisfaction with the program. They reported respectful staff interaction and timely response to calls made using emergency pendants. The tenants reported the food served by the program was palatable and the provision of scheduled activities plentiful. They reported the program’s environment to be clean and safe, acknowledging they would recommend it to others.

Program History – The program had no regulatory insufficiencies this certification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas.

A. Staffing

Monitoring Observation: The program registered nurse (RN) indicated she oriented all new staff members to tasks prior to “turning them loose” but did not maintain documentation of such orientation therefore the dates and which tasks had been trained and observed for competency could not be determined. The RN verified the orientation time period would not exceed one month following employment. The RN reported she holds skills fairs once a year to determine competency testing for nursing delegation at which time she observed staff members performing tasks and documented successful completion of each task.

Staff #1, a certified medication assistant (CMA), had a hire date of 2-14-12. Staff #1 had been providing independent care of tenants and administering medications following her orientation. Staff #1’s personnel file lacked documentation of RN delegation for health related care and administration of medications until 4-2-13, fourteen months following her hire.

Staff #2, a CMA, had a hire date of 8-1-12. Staff #2 had been providing independent care of tenants and administering medications following her orientation. Staff #2’s personnel file lacked documentation of RN delegation for health related care and administration of medications until 4-2-13, eight months following her hire.

During interview, the RN reported she had not realized she needed to keep documentation of the initial observation for competency to show the date of delegation for each task.

Regulatory Insufficiency: A sufficient number of trained staff shall be available at all times to fully meet tenants' identified needs. (IAC r. 481-67.9(1))

B. Transportation

Monitoring Observation: The RN reported the program provided transportation to tenants as needed for medical appointments and during activity outings. The RN indicated the program used a five passenger seat van, a 14 passenger seat bus and the RN's personal vehicle for such transportation. The program reported the RN, the activity director and the Administrator transported tenants. The RN and the Administrator had chauffeur licenses with an endorsement allowing the use of a noncommercial passenger vehicle transporting less than 16 passengers. The activity director had a class C driver license, allowing use of a non-commercial vehicle. The activity director did not have an endorsement allowing for passenger transport. The State of Iowa requires persons to have a class D (Chauffeur's) driver's license with endorsement 3, allowing non-commercial use of a vehicle when transporting less than 16 passengers for wages, compensation or hire.

On 4-22-13 at approximately 1:00 PM, the monitor and the Administrator toured the program's bus and van used to transport tenants. The monitor found a first aid kit, fire extinguisher and safety triangles to be present in both vehicles. The RN reported she did not routinely keep a first aid kit, fire extinguisher or safety triangles in her personal vehicle. The RN reported when she used her own personal vehicle for tenant transportation she did not place a first aid kit, a fire extinguisher or safety triangles in the vehicle prior to transport.

Regulatory Insufficiency: When transportation services are provided directly or under contract with the program, the driver shall have a valid and appropriate driver's license or commercial driver's license as required by law for the vehicle being utilized for transport. If the driver is licensed in another state, the license shall be valid and appropriate for the vehicle being utilized for transport. The driver shall meet any state or federal requirements for licensure or certification for the vehicle operated. (IAC r. 481-69.33(6))

Regulatory Insufficiency: When transportation services are provided directly or under contract with the program, each vehicle shall have a first-aid kit, fire extinguisher, safety triangles, and a device for two-way communication. (IAC r. 481-69.33(7))