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April 4, 2013

Ms. Callie Sternhagen, RN Director
Pennington Square Assisted Living
502 Pinehaven Drive
Monticello, IA 52310

**RE: Final Recertification Monitoring Evaluation Report – Pennington Square
Assisted Living, Monticello, IA**

Dear Ms. Sternhagen:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69. **No Regulatory Insufficiencies were found during this evaluation.**

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0110** with effective dates of **February 26, 2013** through **February 25, 2015**.

If you have any questions in regard to this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Callie Sternhagen, RN Director
Pennington Square Assisted Living
502 Pinehaven Drive
Monticello, IA 52310

Date of Monitoring Visit:

December 12, 2012

Monitor(s):

Margaret Kaltefleiter, RN MS

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	25
Number of tenants with cognitive disorder:	0
Total Population of Program at time of on-site	25
TOTAL census of Assisted Living Program	25

Tenant/Family Satisfaction Results – A community meeting was held with 20 tenants. The tenants liked living at the Program because of the friendly, loving people and they felt safe. Housekeeping services were completed to their satisfaction and the buildings and grounds were safe, clean and sanitary. The tenants used pendants to call for assistance and staff responded within two to three minutes. Nursing services were available. The tenants said they received the services they expected and more. The care received was described as excellent, very good and first class. Staff treated the tenants very kindly and lovingly. Staff knew what services to provide and was trained appropriately. The tenants said they liked the food and were served a good variety of meats, vegetables and desserts. Staff served the food appropriately and foods were served at the appropriate temperature. Tenants said they liked having entrée choices. Suggestions for improvement were to offer meats that are more tender, provide more salads and offer lighter foods in the evenings such as soup. One tenant said corn was served in a lot of things. The tenants received an activity calendar and said plenty of activities were offered. Dice games, cards and Bingo were the most popular activities enjoyed. The tenants said they would like to have outings offered for a shopping trip and for a ride to see Christmas lights. Tenants said they made their own decisions, were generally satisfied with the Program and would recommend it to others. The tenants requested the doors stay open later at night and not be locked until 10:00 p.m.

Program History – The Program did not receive any regulatory insufficiencies during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas. **There were no regulatory insufficiencies found during this onsite recertification monitoring evaluation.**