

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

February 5, 2013

Ms. Denise Hammer, Administrator
Eiler House Assisted Living
920 West Garfield
Clarinda, IA 51632

RE: Final Recertification Monitoring Evaluation Report – Eiler House Assisted Living, Clarinda, IA

Dear Ms. Hammer:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69.

DIA has completed the review of your Plan of Correction (POC) in response to the **Preliminary Recertification Monitoring Evaluation Report**. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiency, DIA accepts your POC. The Final report is enclosed.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0020** with effective dates of **April 1, 2013** through **March 31, 2015**.

If you have any questions in regard to this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Denise Hammer, Administrator
Eiler House Assisted Living
920 West Garfield
Clarinda, IA 51632

Date of Monitoring Visit:

January 8, 2013

Monitor(s):

Hal L. Chase, RN BSN MPH

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	16
Number of tenants with cognitive disorder:	3
Total Population of Program at time of on-site	19
TOTAL census of Assisted Living Program	19

Tenant/Family Satisfaction Results – A community meeting was held with 13 tenants. Tenants reported they like living at the Program and considered the Program their home. Housekeeping services were performed to their satisfaction and the building and grounds were clean, safe and sanitary. Nursing services were completed quickly and competently and staff responded within five minutes of being called. The Program nurse was available when needed and the Administrator was responsive, kind and considerate of each tenant's needs. The meals were good and a variety of meats, fruits, vegetables and desserts were offered. Tenants felt safe, made their own decisions and would recommend the Program to anyone.

Program History – There were no regulatory insufficiencies during this recertification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas.

A. Record Checks

Monitoring Observation: Eight staff personnel files were reviewed. No issues were found with Staff #2, #5 and #7.

Staff #1 was hired on 6-15-12. A criminal background check was completed on 6-14-12. Department of Criminal Investigation (DCI) indicated further research was required. On 6-21-12 a record check indicated no criminal history was found. Staff #1 was hired before a record check request had cleared Staff #1 to work at the Program.

Staff #3 was hired on 9-22-12. A criminal background check was completed on 9-22-11. DCI indicated further research was required. On 9-30-11 a record check indicated no criminal history was found. Staff #2 was hired before a record check request had cleared Staff #2 to work at the Program.

Staff #4 was hired on 1-31-12. A criminal background check was completed on 3-16-12. Staff #4 was hired before a record check had been completed.

Staff #6 was hired on 8-22-12. A criminal background check was completed on 8-23-12. Staff #6 was hired before a record check had been completed.

Staff #8 was hired on 2-13-12. A criminal background check was completed on 3-20-12. Staff #8 was hired before a record check had been completed.

- Regulatory Insufficiency: Pursuant to Iowa code section 135C.33, a prospective employee of a program shall have a criminal history check, dependent adult abuse, and child abuse check performed before the prospective employee starts work. If a prospective employee has a criminal history or an abuse history, the prospective employee shall not be employed by the program unless the department of Human Services has performed an evaluation and determined that the record does not warrant the employment prohibition. Proof of the pre-employment background check shall be maintained in the program's employee file. The program must meet all requirement of Iowa code 135C 33 and Administrative rules adopted pursuant to Iowa Code section 135 C 33. (IAC r. 481-67.9 (3))