

TERRY E. BRANSTAD
GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

KIM REYNOLDS
LT. GOVERNOR

Complaint/Incident Intake #: 41398-1

November 20, 2012

Mr. Rollie Peterson, Administrator
River Ridge and Gardens at Friendship Haven
420 Kenyon Road
Fort Dodge, IA 50501

RE: Final Complaint/Incident Investigation Report – River Ridge and Gardens at Friendship Haven, Fort Dodge, IA

Dear Mr. Peterson:

Enclosed is the **Final Complaint/Incident Investigation Report** from the on-site monitoring visit of November 14, 2012, completed by the Department of Inspections and Appeals (“DIA”) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (“IAC”) chapters 481—67 and 481—69. **No Regulatory Insufficiencies were identified.**

If you have any questions regarding the enclosed Report, please contact me at 515/281-4116 or **James.Berkley@dia.iowa.gov**

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Complaint/Incident Investigation Report

Assisted Living Program:

River Ridge and Gardens at Friendship Haven
Rollic Peterson, Administrator
420 Kenyon Road
Fort Dodge, IA 50501

Complaint/Incident Intake #:

41398-I

Date of Complaint/Incident Investigation:

November 14, 2012

Monitor(s):

Joyce Kix, RN
Lori Miner, RN BSN

Definitions: *The following definitions are relevant:*

Assisted Living Program -- A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers below were provided by the Program at the time of the on-site visit.

General Population Program	
Number of tenants without cognitive disorder:	<u>70</u>
Number of tenants with cognitive disorder:	<u>2</u>
Total Population of Program at time of on-site	<u>72</u>
Dementia-Specific Program by Dedication	
Number of tenants without cognitive disorder:	<u>18</u>
Number of tenants with cognitive disorder:	<u>12</u>
Total Population of Program at time of on-site	<u>30</u>
TOTAL census of Assisted Living Program	<u>102</u>

Program History – The program received regulatory insufficiencies in the areas of Medications and Exit Door Alarm Systems during this certification period.

Complaint/Incident Investigation – The Complaint/Incident investigator made the observations detailed in the following areas:

Criteria for Admission and Retention

Complaint/Incident Allegation: The program reported a tenant attempted suicide.

- **Monitoring Observation:** Tenant #1, a 79 year old, was admitted to the general population 9-28-12 with diagnoses of: Chronic Kidney Disease, Hypertension and Diabetes. The service plan dated 9-14-12 documented Tenant #1 was independent with all activities of daily living and medication administration. The cognitive evaluation completed 9-14-12 documented no errors which indicated intact intellectual function. The clinical record contained a physician's note dated 6-11-12 which documented Tenant #1 was seen prior to an overseas vacation. The note documented assessment diagnoses of Coronary Artery Disease, Chronic Kidney Disease, Diabetes and Dermatitis and listed Tenant #1 as alert and oriented to person place and time. The clinical record documented Tenant #1 advised the dietary department on 10-23-12 that he/she would be gone from the program for a couple of days so would not be at meals. Tenant #1's family member called the program on 10-25-12 to notify the program of Tenant #1's attempted suicide. The program had no knowledge which would predict Tenant #1 had suicidal ideations.
- **Regulatory Insufficiency:** None noted.