IOWA DEPARTMENT OF
INSPECTIONS & APPEALS

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

October 26, 2012

Ms. Jean Parrish, Administrator
Prime Living Apartments
725 Pearl Street
Sioux City, IA 51101

RE: Final Recertification Monitoring Evaluation Report – Prime Living Apartments, Sioux City, IA

Dear Ms. Parrish:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69.

DIA has completed the review of your Plan of Correction (POC) in response to the **Preliminary Recertification Monitoring Evaluation Report**. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiency, DIA accepts your POC. The Final report is enclosed.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0245** with effective dates of **October 27, 2012** through **October 26, 2014.**

If you have any questions in regard to this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Jean Parrish, Administrator
Prime Living Apartments
725 Pearl Street
Sioux City, IA 51101

Date of Monitoring Visit:

October 8, 2012

Monitor(s):

Hal L. Chase, RN BSN MPH

Definitions: ***The following definitions are relevant:***

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: ***In preparing this report, the following information was considered:***

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	61
Number of tenants with cognitive disorder:	0
Total Population of Program at time of on-site	61
TOTAL census of Assisted Living Program	61

Tenant/Family Satisfaction Results – A community meeting was held with 24 tenants. Tenants reported they liked the privacy, the independence and autonomy living at the Program gave them. Housekeeping and laundry services were completed to their satisfaction and the building and grounds were clean, safe and sanitary. Tenants who smoke can smoke at the front entrance and in the courtyard. Tenants requested the Program reduce the number of locations where tenants can smoke. Nursing services were available when needed and staff respond within 10 minutes of being called. Tenants reported there were times when staff were "in too much of a hurry" and didn't spend enough time visiting with them. Staff was trained well and treated tenants with respect. Meals offered by the Program were to Tenants liking, but Tenants requested the Program provide more fresh fruit throughout the day. Tenants were satisfied with the activities offered but requested additional exercise classes be offered. Tenants felt safe, made their own decisions and would recommend the Program to anyone.

Program History – The Program received no regulatory insufficiencies during this recertification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas.

A. Medications

Monitoring Observation: A monitor observed staff administer scheduled medications to Tenants. During this process Staff #3 did not consistently wash his/her hands prior to and after administering medications to Tenants. Staff #3 administered medications to Tenant #4 and found medications left for Tenant #4 at 8:00 a.m. had not been taken. Staff #3 reported he/she would leave medications for Tenant #4 to take independently. Staff #3 would then document in the Medication Administration Record (MAR) the medication had been administered to Tenant #4. Staff #3 reported this process was repeated in the same manner with other Tenants throughout the Program. A review of Staff #3's personnel file indicated the Program nurse had provided the required training to administer medications within the applied standard of medication administration. Staff #3 did not administer and document medications appropriately.

Regulatory Insufficiency: When medications are administered traditionally by the program (a) the administration of medications shall be provided by a registered nurse, licensed practical nurse or advanced registered nurse practitioner registered in Iowa or by unlicensed assistive personnel in accordance with requirements in 655—Chapter 6 governing nurse delegation. (IAC r. 481-67.5(6)(a))