

TERRY E. BRANSTAD
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LT. GOVERNOR

Complaint/Incident Intake #: 40541-C

October 4, 2012

Ms. Lauri Fulkerth, Manager
Arlington Place of Pocahontas
101 NE 5th Street
Pocahontas, IA 50574

**RE: Final Complaint/Incident Investigation Report – Arlington Place of
Pocahontas, Pocahontas, IA**

Dear Ms. Fulkerth:

Enclosed is the **Final Complaint/Incident Investigation Report** from the on-site monitoring visit of September 27, 2012, completed by the Department of Inspections and Appeals (“DIA”) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (“IAC”) chapters 481—67 and 481—69. **No Regulatory Insufficiencies were identified.**

If you have any questions regarding the enclosed Report, please contact me at 515/281-4116 or **James.Berkley@dia.iowa.gov**

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Complaint/Incident Investigation Report

Assisted Living Program:

Complaint/Incident Intake #: 40541-C

Lauri Fulkerth, Manager
Arlington Place of Pocahontas
101 NE 5th Street
Pocahontas, IA 50574

Date of Complaint/Incident Investigation:

September 27, 2012

Monitor(s):

Lori Miner, RN BSN

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers below were provided by the Program at the time of the on-site visit.

General Population Program	
Number of tenants without cognitive disorder:	34
Number of tenants with cognitive disorder:	0
Total Population of Program at time of on-site	34
TOTAL census of Assisted Living Program	34

Program History – The program had no regulatory insufficiencies this certification period.

Complaint/Incident Investigation – The Complaint/Incident investigator(s) made the observations detailed in the following areas:

A. Evaluation

Complaint/Incident Allegation: It was alleged tenants were admitted from the independent living section of the facility into the assisted living program and evaluations had not been completed.

- Monitoring Observation: In an interview with the Program Nurse, she stated the facility was originally an independent living program. Some of the independent living tenants continued to reside in the building when it was changed to an assisted living program. The nurse stated all the tenants in the building are assisted living tenants and all the tenants have had evaluations completed and service plans created.

The nurse and two universal workers, Staff #1 and #2, were interviewed. Four tenants, #1, #2, #3, and #4, were identified as being original tenants in independent living. Files were reviewed for tenants #1, #2, and #3. None were documented as being admitted to assisted living within the last six months. All three files contained functional, cognitive, and health evaluations as well as service plans.

Files were also reviewed for tenants # 5 and #6, who were new admissions within the last six months. Both tenants were reported by the Program to have been admitted from home. Both files contained functional, cognitive, and health evaluations as well as service plans completed as required.

Evaluations were completed as required.

- Regulatory Insufficiency: None noted.