

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

Complaint/Incident Intake #: 40456-I

September 27, 2012

Ms. Pamela Collison, Administrator
Prairie Hills at Independence
505 Enterprise Drive SW
Independence, IA 50644

RE: Final Complaint/Incident Investigation Report – Prairie Hills at Independence, Independence, IA

Dear Ms. Collison:

Enclosed is the **Final Complaint/Incident Investigation Report** from the on-site monitoring visit of September 19, 2012, completed by the Department of Inspections and Appeals (“DIA”) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (“IAC”) chapters 481—67 and 481—69. **No Regulatory Insufficiencies were identified.**

If you have any questions regarding the enclosed Report, please contact me at 515/281-7039 or **Rose.Boccella@dia.iowa.gov**

Sincerely,

Rose Boccella

Rose Boccella
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Complaint/Incident Investigation Report

Assisted Living Program:

Prairie Hills at Independence
Pamela Collison, Administrator
505 Enterprise Drive SW
Independence, IA 50644

Complaint/Incident Intake #:

40456-I

Date of Complaint/Incident Investigation:

September 19, 2012

Monitor(s):

Joyce Kix, RN

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers below were provided by the Program at the time of the on-site visit.

General Population Program	
Number of tenants without cognitive disorder:	32
Number of tenants with cognitive disorder:	0
Total Population of Program at time of on-site	32
Dementia-Specific Program by Dedication	
Number of tenants without cognitive disorder:	2
Number of tenants with cognitive disorder:	12
Total Population of Program at time of on-site	14
TOTAL census of Assisted Living Program	46

Program History – During a complaint investigation on 7-31-12, the program received a regulatory insufficiency in the area of Life Safety.

Complaint/Incident Investigation – The Complaint/Incident investigator made the observations detailed in the following areas:

Staffing

Complaint/Incident Allegation: The program reported a tenant fell and sustained a fractured left arm.

- **Monitoring Observation:** Tenant #1, a 93 year old, was admitted to the general population on 8-6-11 with diagnoses of: Pulmonary Hypertension, Depression, and Macular Degeneration. On 8-3-12 Tenant #1 was admitted to Hospice services with a diagnosis of Heart Failure. Tenant #1 scored 3 on the Global Deterioration Scale completed 8-3-12 which indicated mild cognitive decline. The service plan dated 8-3-12 documented Tenant #1 ambulated independently with a wheeled walker with staff walking behind with a wheel chair. Tenant #1 toileted independently as well and was able to use an emergency pendant appropriately. Tenant #1 sustained falls on 8-1, 8-13, and two falls on 8-19-10. On 8-19-12 after the second fall, Tenant #1 reported pain in the left shoulder and refused to have the arm manipulated for examination. Tenant #1 was transported to the hospital where a fractured arm was diagnosed. Tenant #1 has not returned to the program. The program reported the fall with injury appropriately.
- **Regulatory Insufficiency:** None noted.