IOWA DEPARTMENT OF
INSPECTIONS & APPEALS

TERRY E. BRANSTAD
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February 16, 2012

Mr. Charles A. Pleak, Administrator
Oakland Healthcare Management
Oakland Heights Assisted Living
904 N. Scenic Drive
Oakland, IA 51560

RE: Final Recertification Monitoring Evaluation Report – Oakland Heights Assisted Living, Oakland, IA

Dear Mr. Pleak:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69. **No Regulatory Insufficiencies were found during this evaluation.**

The review of the recertification documents you submitted has been completed and are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0070** with effective dates of **March 10, 2012** through **March 9, 2014**.

If you have any questions regarding this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Charles A. Pleak, Administrator
Oakland Healthcare Management
Oakland Heights Assisted Living
904 N. Scenic Drive
Oakland, IA 51560

Date of Monitoring Visit:

November 2, 2011

Monitor(s):

Hal L. Chase, RN BSN MPH

Definitions: ***The following definitions are relevant:***

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: ***In preparing this report, the following information was considered:***

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

	General Population Program
Number of tenants without cognitive disorder:	9
Number of tenants with cognitive disorder:	1
Total Population of Program at time of on-site	10
TOTAL census of Assisted Living Program	10

Tenant/Family Satisfaction Results – A community meeting was held with six tenants in attendance. Tenants reported the program was comfortable and quiet. Housekeeping services were completed their satisfaction and the building and grounds were safe, clean and sanitary. Staff are caring and treat each of them like a family member. The Program Nurse was available when needed and staff responded quickly when called. Tenants liked the food and a variety of meats, fruits and vegetables were offered. Staff served food appropriately and no complaints were voiced. There were enough activities offered and tenants could go over to the adjacent nursing facility to attend additional activities. Tenants reported feeling safe, were getting the services they expected, made their own personal and health care decisions and would recommend the program to anyone.

Program History – There were no regulatory insufficiencies during this recertification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas. There were no regulatory insufficiencies during this onsite recertification visit.