

INSPECTIONS & APPEALS

TERRY E. BRANSTAD
GOVERNOR

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LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

February 9, 2012

Ms. Mary K. Harris, Director
Oakwood Place
4126 Northwest Blvd.
Davenport, IA 52806

**RE: Final Recertification Monitoring Evaluation Report – Oakwood Place,
Davenport, IA**

Dear Ms. Harris:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481–67 and 481–69.

DIA has completed the review of your Plan of Correction (POC) in response to the Preliminary Recertification Monitoring Evaluation Report. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiency, DIA accepts your POC. The Request for Reconsideration has been denied as the implication of the rule is training is conducted by trained, certified staff. The Final report is enclosed.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0139** with effective dates of **March 9, 2012** through **March 8, 2014**.

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(515) 281-4115
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INVESTIGATIONS
(515) 281-5714
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If you have any questions in regard to this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Oakwood Place
Mary K. Harris, RN Director
4126 Northwest Blvd.
Davenport, IA 52806

Date of Monitoring Visit:

November 7, 2011

Monitor(s):

Joyce Kix, RN
Maribeth Freland, RN

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program

Number of tenants without cognitive disorder:	53
Number of tenants with cognitive disorder:	<u>2</u>
Total Population of Program at time of on-site	<u>55</u>

Dementia-Specific Program by Dedication

Number of tenants without cognitive disorder:	1
Number of tenants with cognitive disorder:	<u>14</u>
Total Population of Program at time of on-site	<u>15</u>

TOTAL census of Assisted Living Program

70

Tenant/Family Satisfaction Results – A community meeting was held with 15 tenants. They reported the program was neat, clean and safe and they like everything at the program. Nursing staff responded to calls within minutes and provided excellent care. The tenants like the food and activities at the program. The tenants were generally satisfied and would recommend the program to friends.

Program History – The program did not receive any regulatory insufficiencies during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas.

Food Service

Monitoring Observation: Personnel records for five employees were reviewed for training. Staff #1, #2, #3, #4 and #5 assisted with serving and/or cooking food as part of their duties. Staff #1, #2, #3, #4 and #5 did not have documentation of initial orientation and/or annual completion of in-service for safe food handling by a person certified in Safe Food Protection and Handling.

Regulatory Insufficiency: Personnel who are employed by or contract with the program and who are responsible for food preparation or service, or both food preparation and service, shall have an orientation on sanitation and safe food handling prior to handling food and shall have an annual in-service training on food protection.
(IAC r. 481-69.28 (5))