IOWA DEPARTMENT OF
INSPECTIONS & APPEALS

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

November 29, 2011

Mr. Brad Cole, Executive Director
Valley View Village Assisted Living
2555 Guthrie Avenue
Des Moines, IA 50317

RE: Final Recertification Monitoring Evaluation Report – Valley View Village Assisted Living, Des Moines, IA

Dear Mr. Cole:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69.

DIA has completed the review of your Plan of Correction (POC) in response to the Preliminary Recertification Monitoring Evaluation Report. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiency, DIA accepts your POC. The Final report is enclosed.

The review of the recertification documents you submitted has been completed and the documents are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0308** with effective dates of **January 28, 2012** through **January 27, 2014**.

If you have any questions in regard to this certification, please contact me at 515/281-7039.

Sincerely,

Rose Boccella

Rose Boccella
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Brad Cole, Executive Director
Valley View Village Assisted Living
2555 Guthrie Avenue
Des Moines, IA 50317

Date of Monitoring Visit:

October 12, 2011

Monitor(s):

Maribeth Freland, RN
Lori Miner, RN BSN

Definitions: ***The following definitions are relevant:***

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview:

An on-site monitoring evaluation was conducted at Valley View Village Assisted Living on October 12, 2011. In preparing this report, the following information was considered:

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	56
Number of tenants with cognitive disorder:	2
Total Population of Program at time of on-site	58
TOTAL census of Assisted Living Program	58

Tenant/Family Satisfaction Results – A meeting was held with 14 tenants. Housekeeping was completed to the tenants' satisfaction in apartments as well as common areas. The building and grounds were also kept clean. Tenants reported using a push pendant to request assistance. Staff members were reported to respond quickly. Staff members were described as wonderful, and trained to provide services. The food was described as good with a variety of foods offered. Hot foods were generally reported to be hot. There were enough activities offered, although tenants would like to see more activities offered for men. Generally, the tenants were satisfied with the program and would recommend it to others.

Program History – The program did not receive any regulatory insufficiencies during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas.

A. Food Service

Monitoring Observation: Staff #2 was hired 3-12-10 as a Universal Worker (UW) with no documentation of food protection training after 3-17-10. Staff #3 was hired 6-20-11 as a UW with no documentation of food protection training. Staff #4 was hired 1-27-10 as a UW with no documentation of food protection training after 6-30-10. Staff # 5 was hired 9-12-11as a UW with no documentation of food protection training. Staff #7 was hired 4-15-11 as a food service employee with no documentation of food protection training since 11-3-08.

Staff #2, #5, and #7 were observed assisting with the noon meal.

Staff training files lacked documentation of initial or annual food protection training.

Regulatory Insufficiency: Personnel who are employed by or contract with the program and who are responsible for food preparation or service, or both food preparation and service, shall have an orientation on sanitation and safe food handling prior to handling food and shall have annual in-service training on food protection.
(IAC r. 481-69.28(5))

Dated this 3rd day of November, 2011.