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RODNEY A. ROBERTS, DIRECTOR

December 2, 2011

Ms. Nona Sawyer, Manager
Southcrest Manor II AL
602 2nd Street SW
Waukon, IA 52172

**RE: Final Recertification Monitoring Evaluation Report – Southcrest Manor II AL,
Waukon, IA**

Dear Ms. Sawyer:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481–67 and 481–69. **No Regulatory Insufficiencies were found during this evaluation.**

The review of the recertification documents you submitted has been completed and are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0221** with effective dates of **November 16, 2011** through **November 15, 2013**.

If you have any questions regarding this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Nona Sawyer, Manager
Southcrest Manor II Assisted Living
602 2nd Street SW
Waukon, IA 52172

Date of Monitoring Visit:

September 13, 2011

Monitor(s):

Margaret Kaltefleiter, RN MS

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview:

An on-site monitoring evaluation was conducted at Southcrest Manor II Assisted Living on September 13, 2011. In preparing this report, the following information was considered:

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	16
Number of tenants with cognitive disorder:	0
Total Population of Program at time of on-site	16
TOTAL census of Assisted Living Program	16

Tenant/Family Satisfaction Results – A community meeting was held with 14 tenants. The tenants stated living there was just like being at home. Housekeeping was completed to their satisfaction and the buildings and grounds were described as being immaculate. Nursing services were available when needed and staff responded immediately when tenants activated their pendants. The tenants stated staff treated them well, knew what services to provide and was trained appropriately. The tenants stated they liked the food but commented that too much processed food was served. There was a good variety of meats, vegetables and desserts offered and foods were served at appropriate temperatures. One suggestion for improvement was to serve more salads. Tenants stated there were enough activities offered and they had no suggestions for additional activities. Tenants stated they felt safe, made their own decisions, were satisfied with the Program and had recommended the Program to others. The tenants stated they were very lucky to live in a place like this. The tenants stated it would be appreciated if night checks were offered and they would like to see a beauty salon available on site.

Program History – The program did not receive any regulatory insufficiencies during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas. There were no regulatory insufficiencies found during this onsite recertification monitoring evaluation.