

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

Complaint/Incident Intake #: 36641-C

November 29, 2011

Ms. Cheryl Rogan, Director
Oak Park Place
1381 Oak Park Place
Dubuque, IA 52002

RE: Final Complaint/Incident Investigation Report – Oak Park Place, Dubuque, IA

Dear Ms. Rogan:

Enclosed is the **Final Complaint/Incident Investigation Report** from the on-site monitoring visit of November 14 and 15, 2011, completed by the Department of Inspections and Appeals ("DIA") in accordance with Iowa Code chapter 231C and Iowa Administrative Code ("IAC") chapters 481—67 and 481—69. **No Regulatory Insufficiencies were identified.**

If you have any questions regarding the enclosed Report, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Complaint/Incident Investigation Report

Assisted Living Program:

Cheryl Rogan, Director
Oak Park Place
1381 Oak Park Place
Dubuque, Iowa 52002

Complaint/Incident Intake #:

36641-C

Date of Complaint/Incident Investigation:

November 14 and 15, 2011

Monitor(s):

Maribeth Freland RN
Joyce Kix RN

Definitions: *The following definitions are relevant:*

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: *In preparing this report, the following information was considered:*

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers below were provided by the Program at the time of the on-site visit.

General Population Program	
Number of tenants without cognitive disorder:	45
Number of tenants with cognitive disorder:	4
Total Population of Program at time of on-site	49
Dementia-Specific Program by Dedication	
Number of tenants without cognitive disorder:	0
Number of tenants with cognitive disorder:	20
Total Population of Program at time of on-site	20
TOTAL census of Assisted Living Program	69

Program History – The program did not receive any regulatory insufficiencies during this certification period.

Complaint/Incident Investigation – The Complaint/Incident investigator(s) made the observations detailed in the following areas:

Other: Personnel Issues

Complaint/Incident Allegation: It was alleged four department directors were drinking alcoholic beverages during a meeting held at the program.

- **Monitoring Observation:**

Staff members confirmed a meeting was held at 5:30 PM on 11-4-11. Four department directors attended the meeting in the basement of the program in a non tenant area and did consume alcoholic beverages. All four department directors were finished with work for the day and were not on-call for the program that evening. No tenants were affected by the alcohol consumption either directly or indirectly. Personnel issues are not governed by the Department of Inspections and Appeals.

- **Regulatory Insufficiency:** None noted