IOWA DEPARTMENT OF
INSPECTIONS & APPEALS

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

October 14, 2011

Ms. Cheryl Rogan, Director
Oak Park Place
1381 Oak Park Place
Dubuque, IA 52002

RE: Final Recertification Monitoring Evaluation Report – Oak Park Place, Dubuque, IA

Dear Ms. Rogan:

Enclosed is the **Final Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69. **No Regulatory Insufficiencies were found during this evaluation.**

The review of the recertification documents you submitted has been completed and are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0218** with effective dates of **November 1, 2011** through **October 31, 2013.**

If you have any questions regarding this certification, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Cheryl Rogan, Director of Housing
Oak Park Place
1381 Oak Park Place
Dubuque, IA 52002

Date of Monitoring Visit:

October 5, 2011

Monitor(s):

Stephanie Cummins, MA
Margaret Kaltefleiter, RN MS

Definitions: ***The following definitions are relevant:***

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview:

An on-site monitoring evaluation was conducted at Oak Park Place on October 5, 2011. In preparing this report, the following information was considered:

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers were provided by the Program at the time of the on-site.

General Population Program	
Number of tenants without cognitive disorder:	46
Number of tenants with cognitive disorder:	4
Total Population of Program at time of on-site	50

Dementia-Specific Program by Dedication	
Number of tenants without cognitive disorder:	1
Number of tenants with cognitive disorder:	18
Total Population of Program at time of on-site	19
TOTAL census of Assisted Living Program	69

Tenant/Family Satisfaction Results – A community meeting with two tenants and three individual tenant interviews were held. The tenants said housekeeping services were provided to their satisfaction and it was a light cleaning. It was reported the lamps in the hallways were dusty and needed to be cleaned. There were enough activities offered; however, a request was made for more entertainment. The tenants felt safe and made their own decisions. The tenants stated there was a turnover in staff and the new staff was always being trained. It was reported the new staff needed more training and orientation before working with the tenants. The tenants reported there was not enough staff; however, the pendants were answered in an appropriate time and they received all the services required. They said staff treated them well and with respect. One tenant reported some tenants were treated as favorites. One tenant stated beer was only available on Wednesday nights and requested it be served more frequently. Most tenants stated the food was good; however, one tenant requested more foods that were appropriate for the elderly. The temperature and quantity of food was appropriate. The service in the dining room needed improvement and the staff had too much to do. The tenants were satisfied living at the Program and would recommend it to others.

Program History – The program did not receive any regulatory insufficiencies during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made observations detailed in the following areas. **There were no regulatory insufficiencies found during this onsite recertification monitoring evaluation.**

Dated this 11th day of October, 2011.