IOWA DEPARTMENT OF
INSPECTIONS & APPEALS

TERRY E. BRANSTAD
GOVERNOR

KIM REYNOLDS
LT. GOVERNOR

RODNEY A. ROBERTS, DIRECTOR

September 26, 2011

Complaint Intake #: 35848-C

Mr. Gary Shebeck, Administrator
Newton Village
122 North 5th Avenue West
Newton, IA 50208

RE: Final Recertification & Complaint Investigation Report – Newton Village, Newton, IA

Dear Mr. Shebeck:

Enclosed is the **Final Recertification & Complaint Investigation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69. **No Regulatory Insufficiencies were found during this evaluation.**

The review of the recertification documents you submitted has been completed and are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **S0182** with effective dates of **October 6, 2011** through **October 5, 2013**.

If you have any questions regarding this certification, please contact me at 515/281-7039.

Sincerely,

Rose Boccella

Rose Boccella
Program Coordinator
Adult Services Bureau

Enclosure

IOWA DEPARTMENT OF INSPECTIONS AND APPEALS
Assisted Living Program
Final Recertification/Complaint/Incident Investigation Report

Assisted Living Program:

Complaint/Incident Intake #: 35848-C

Gary Shebeck, Administrator
Newton Village
122 North 5th Avenue West
Newton, IA 50208

Date of Recertification/Complaint/Incident Investigation:

September 19 & 20, 2011

Monitor(s):

Margaret Kaltefleiter, RN MS
Joyce Kix, RN

Definitions: ***The following definitions are relevant:***

Assisted Living Program – A program certified under 481 IAC 69 that provides housing with contracted services to three or more tenants in a physical structure that provides a homelike environment. Services may include but are not limited to health-related care, personal care, and assistance with instrumental activities of daily living. A General Population Program is an Assisted Living Program that is not dementia-specific but may have tenants with cognitive disorder.

Dementia-Specific Assisted Living Program - An assisted living program certified under 481 IAC 69 that serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale (GDS), or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the GDS, or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Regulatory Insufficiency - A violation of a statutory or rule provision within the Code of Iowa (2011) or the Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to and approved by the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are statutory or rule violations. The plan should identify how and by what date each regulatory insufficiency will be corrected, and what measures will be taken to ensure the problem does not recur. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint/Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Overview: ***In preparing this report, the following information was considered:***

Current Program Census

Assisted Living Programs are defined by the type of population served. The census numbers below were provided by the Program at the time of the on-site visit.

General Population Program	
Number of tenants without cognitive disorder:	35
Number of tenants with cognitive disorder:	2
Total Population of Program at time of on-site	37
Dementia-Specific Program by Dedication	
Number of tenants without cognitive disorder:	2
Number of tenants with cognitive disorder:	8
Total Population of Program at time of on-site	10
TOTAL census of Assisted Living Program	47

Tenant/Family Satisfaction Results: A community meeting was held with 29 tenants and one family member. The tenants stated living there was like being at home. Housekeeping services were completed to their satisfaction and the buildings and grounds were well maintained. Nursing services were available when needed and staff responded within 15-20 minutes or sooner when tenants pushed their pendants. Staff was very good, although new staff did not always know what services tenants needed. The tenants stated they liked the food, although sometimes personal preferences were different from what was served. An alternate entrée was always available. There was a variety of meats, vegetables and desserts offered and foods were served at the appropriate temperature. Staff did a great job in serving the food. Tenants suggested the outside of salt and pepper shakers be cleaned after each meal and that plenty of napkins and toothpicks be available at all times. Tenants stated too many carbohydrates, starchy foods and pasta were served and sometimes food was too salty. More ice cream and ice cream bars were requested. The tenants stated there were plenty of activities offered and did not offer any suggestions for more activities. The tenants stated they made their own decisions, felt safe at the Program, were getting the services they expected, would recommend the Program to others and were generally satisfied. One tenant stated he/she had lived at the Program for five years and felt like he/she was on vacation every day.

Program History – The program did not receive any regulatory insufficiencies during this certification period.

On-site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Other

Complaint/Incident Allegation: It was alleged a tenant was not properly assessed.

- Monitoring Observation: Six tenant files were reviewed. Five files were of current tenants and one file was of a previous tenant. All tenants reviewed were assessed appropriately for evaluations and for significant changes in condition.

  A community meeting was held with 29 tenants and one family member and no concerns were verbalized regarding lack of proper assessments.

- Regulatory Insufficiency: None noted.

Dated this 22nd day of September 2011.