IOWA DEPARTMENT OF
INSPECTIONS & APPEALS

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TERRY E. BRANSTAD
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RODNEY A. ROBERTS, DIRECTOR

Incident Intake #: 32690-I

March 31, 2011

Ms. Jeanine Chartier, Administrator
Char-Mac Assisted Living
200 E. Char-Mac Drive
Lawton, IA 51030

RE: Final Incident Investigation Report – Char-Mac Assisted Living, Lawton, IA

Dear Ms. Chartier:

Enclosed is the **Final Incident Investigation Report** from the on-site monitoring visit of March 24, 2011, completed by the Department of Inspections and Appeals ("DIA") in accordance with Iowa Code chapter 231C and Iowa Administrative Code ("IAC") chapters 481—67 and 481—69. **No Regulatory Insufficiencies were identified.**

If you have any questions regarding the enclosed Report, please contact me at 515/281-4116.

Sincerely,

Jim Berkley

Jim Berkley
Program Coordinator
Adult Services Bureau

Enclosure

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Iowa Department of Inspections and Appeals
Assisted Living Program
Final Incident Investigation Report

Assisted Living Program: **Incident Intake #: 32690-I**

Ms. Jeanine Chartier, Administrator
Char-Mac Assisted Living
200 E. Char-Mac Drive
Lawton, IA 51030

Date of Incident Investigation:

March 24, 2011

Monitor(s):

Hal L. Chase, RN BSN MPH

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations. IAC r. 481-67.10(5). The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of an Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under 481 IAC - chapter 69 that: serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site visit was conducted at Char-Mac Assisted Living on March 24, 2011. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	32
Current number of tenants with cognitive disorder:	4
Total Population:	36

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Program History – There were no substantiated Regulatory Insufficiencies found during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Other

Incident Allegation #32690-I: On 1-26-11, the program reported Tenant #1 believed some of his/her prescription medications were missing.

- Monitoring Observation: Tenant #1, an 80 year old, was admitted on 7-14-10 and had diagnoses of: Chronic Neck Pain, Headaches, Depression, Chronic Obstructive Pulmonary Disease, Fatigue, Osteoporosis, Degenerative Joint Disease, Insomnia, Scoliosis, Glaucoma, Renal Insufficiency and Arthritis. A cognitive evaluation was completed on 8-11-10 and revealed the tenant had normal cognition. Records indicated the tenant was prescribed Vicodin 5mg/APAP 500mg tablets – one to two tablets every six hours for pain. A service plan of 8-11-10 revealed the tenant was independent with all activities of daily living, including medication administration.

  Pharmacy records revealed 120 tablets of Hydrocodone 5mg/500mg were delivered to the tenant on 1-17-11. An incident report of 1-26-11 revealed the tenant reported 113 tablets of Hydrocodone 5mg/500mg tablets were missing from the 120 tablets delivered on 1-17-11. The program reviewed the tenant's documentation and indicated the tenant had taken 14 tablets of Hydrocodone 5mg/500mg APAP between 1-17-11 and 1-25-11 and 17 tablets of Hydrocodone 5mg/500mg APAP were left in the bottle, leaving 89 tablets unaccounted.

  A review of the tenant's documentation revealed the tenant recorded 13 tablets were taken beginning 1-17-11 through 1-25-11. The tenant stated there were 17 tablets were left in the bottle and there should have been a lot more left. The tenant stated he/she kept the bottle of Hydrocodone in the kitchen cupboard when he/she was away from his/her apartment and on top of the microwave when he/she was in her apartment. The tenant stated he/she left the apartment door unlocked and staff had a key to the apartment. The tenant stated no other medication was missing prior to or after the event of 1-26-11. The tenant stated he/she did not know who may have taken the medications.

  A review of staff schedule for 1-17-11 through 1-25-11 revealed at least 12 staff had access to the tenant's apartment. The tenant was independent with medication administration and the program did not have any responsibility for the ordering and delivery of medication and did not assist the tenant with the administration of medication.

  The program nurse stated she had no suspects and no other tenants had missing medications. She stated four tenants were self medicating and none of their medications were missing. Staff #1 stated the Administrator had told staff of the missing medication from Tenant #1. She stated she hadn't seen any staff go into the tenant's apartment and didn't suspect anyone. Staff #2 stated she had been gone for a week, returned to work and heard about the tenant's missing medication. She stated she didn't suspect anyone and hasn't seen any staff in the tenant's apartment.

- Regulatory Insufficiency: None noted.

Dated this 30th day of March, 2011