

INSPECTIONS & APPEALS

CHESTER J. CULVER
GOVERNOR

DEAN A. LERNER, DIRECTOR

PATTY JUDGE
LT. GOVERNOR

Incident Intake #: 31785-I

December 27, 2010

Cory Luft, Administrator
Elm Crest Retirement Community
2108 12th Street
Harlan, IA 51537

**RE: Final Incident Investigation Report – Elm Crest Retirement Community,
Harlan, IA**

Dear Mr. Luft:

Enclosed is the **Final Incident Investigation Report** from the on-site monitoring visit of December 22, 2010, completed by the Department of Inspections and Appeals ("DIA") in accordance with Iowa Code chapter 231C and Iowa Administrative Code ("IAC") chapters 481—67 and 481—69. **No Regulatory Insufficiencies were identified.**

If you have any questions regarding the enclosed Report, please contact me at 515/281-5721.

Sincerely,

Tamara Halvorson

Tamara Halvorson
ASB Certification Coordinator
Adult Services Bureau

Enclosure

**Iowa Department of Inspections and Appeals
Assisted Living Program
Final Incident Investigation Report**

Assisted Living Program:

Incident Intake #: 31785-I

Cory Luft, Administrator
Elm Crest Retirement Community
2108 12th Street
Harlan, IA 51537

Date of Incident Investigation:

December 22, 2010

Monitor(s):

Hal L. Chase, RN BSN MPH

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations. IAC r. 481-67.10(5). The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of an Incident Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under 481 IAC - chapter 69 that: serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site visit was conducted at Elm Crest Retirement Community on December 22, 2010. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder: 24

Current number of tenants with cognitive disorder: 2

Total Population: 26

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Program History – There were no substantiated Regulatory Insufficiencies found during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Other

Incident Allegation #31785-I The program reported Tenant #1 lost his/her wallet. When the wallet was returned, it was missing a credit card, an expired driver's license and \$6.00 to \$7.00. The program notified the tenant's family member and local police.

- Monitoring Observation: Tenant #1, a 96 year old, was admitted on 6-12-09 and had diagnoses of: Depressive Disorder, Atrial Fibrillation, Unspecified Heart Failure, Essential Hypertension, Unspecified Open Angle Glaucoma and Unspecified Asthma. A cognitive ability evaluation was completed on 7-8-10 and scored the tenant at 18, which indicated the tenant was a high risk for cognitive impairment. A service plan of 10-6-10 indicated the tenant was independent with activities of daily living (ADLs) except for assistance with bathing and medication administration.

Resident notes of 11-13-10 indicated the tenant reported he/she had lost his/her wallet. A missing item report, dated 11-13-10, indicated the tenant's wallet was found by staff in the tenant's dresser shortly after the tenant reported it lost. Resident notes of 11-15-10 indicated items were missing from his/her wallet including a credit card, an expired drivers license and \$6.00 to \$7.00. The program nurse spoke to the tenant's family member and he/she reported \$100.00 was given to the tenant two weeks earlier. Local police were called and police spoke with the program nurse and tenant.

A police report of 11-15-10 indicated the program nurse reported a possible theft from Tenant #1. A credit card, an expired driver's license and \$100.00 in cash had been taken from the tenant's wallet. The program nurse reported the tenant reported a maintenance worker had found the wallet outside and returned it to him/her. The program nurse reported maintenance staff did not work the weekend of the incident. Police interviewed the tenant and the tenant reported the "neighbor downstairs" gave him/her the wallet, as he/she "had found it outside." The tenant reported he/she wasn't sure who actually returned the wallet.

Staff #1 was interviewed by a monitor by phone and reported she worked the evening shift on 11-13-10. Day staff told her the tenant couldn't find his/her wallet. She and Staff #2 went into the tenant's room and started looking in the tenant's apartment. She found a coin purse in the tenant's closet. Staff #2 looked in the tenant's top dresser drawer and found the wallet. Staff #2 gave the wallet to her and she, in turn, gave it to the tenant. The tenant opened the wallet, with the intent to give her money, but Staff #1 said it wasn't necessary. The tenant put the wallet in his/her pocket. On 11-14-10, the tenant had told everyone a maintenance man had returned it to him/her. Staff #1 reported the tenant was independent with financial affairs and would often leave his/her wallet in a pants pocket. Staff #2 no longer worked at the program. Several attempts were made to contact her at home and place of employment without success.

Staff #3 was interviewed by the monitor and reported the tenant told staff, two maintenance men had found the wallet outside and had returned it to him/her. The tenant showed her the wallet and reported he/she was missing his/her driver's license.

She stated the tenant hasn't gone out of the building for at least three months or longer.

The program nurse was interviewed by a monitor and stated the tenant reported to staff his/her wallet was missing. Staff searched the tenant's room and immediately reported finding the wallet in the tenant's top dresser drawer. Staff returned the wallet to the tenant. On 11-15-10, the tenant reported he/she was missing a credit card, an expired driver's license and \$6.00 - \$7.00. The program nurse reported she called police and the tenant's family member. A family member reported no unusual charges to the tenant's credit card but had given the tenant \$100.00 in cash-five \$20.00 bills.

The tenant's family member was interviewed by a monitor and reported he/she was aware of the missing wallet, driver's license, credit card, but wasn't sure how much money was missing. The family member believes the tenant has misplaced the wallet and wasn't sure if the wallet found by staff, was the wallet that was missing, as the tenant has two similar type wallets. Family reported the tenant had previously gone outside and may have dropped the wallet on the ground, or it may be somewhere in the apartment. The family member reported there were no unauthorized charges on the credit card. The credit card had been cancelled and a new card was re-issued. The family member reported no other personal belongings were missing.

The tenant was interviewed by a monitor in the tenant's spouse's room. The tenant reported he didn't know anything about missing his/her wallet. The tenant reported he/she wasn't aware of missing any items in his/her wallet or that the wallet had been found and returned to him/her.

- Regulatory Insufficiency: None noted.

Dated this 22nd day of December, 2010