

**INSPECTIONS & APPEALS**

CHESTER J. CULVER  
GOVERNOR

DEAN A. LERNER, DIRECTOR

PATTY JUDGE  
LT. GOVERNOR

September 30, 2010

Ms. Linda Bevins, Administrator  
Sunrise Assisted Living Suites  
599 Taylor Street  
Traer, IA 50675

**RE: Final Initial Certification Monitoring Evaluation Report, Sunrise Assisted Living Suites, Traer, IA**

Dear Ms. Bevins:

Enclosed is the **Final Initial Certification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code chapter 231C and Iowa Administrative Code (IAC) chapters 481—67 and 481—69. Based upon a complete review of the Report and your actions to correct the identified Regulatory Insufficiencies, DIA accepts your POC and certification of Sunrise Assisted Living Suites will continue.

Enclosed you will find the Assisted Living Program Certificate **S0297** with effective dates of **September 28, 2009** through **September 27, 2011**. This certificate is to be posted in the building for public viewing. Please note the corrected certificate number.

If you have any questions regarding this certification, please contact me at 515/281-7039.

Sincerely,

*Rose Boccella*

Rose Boccella  
Program Coordinator  
Adult Services Bureau

Enclosure

**Iowa Department of Inspections and Appeals**  
**Assisted Living Program**  
**Final Initial Certification Monitoring Evaluation Report**

**Assisted Living Program:**

Linda Bevins, Administrator  
Sunrise Assisted Living Suites  
599 Taylor St.  
Traer, IA 50675

**Date of Monitoring Visit:**

August 31, 2010

**Monitor(s):**

Stephanie Cummins, MA

**Definitions:**

The following definitions are relevant:

**Regulatory Insufficiency** - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

**Plan of Correction** - A written response to one or more regulatory insufficiencies that are rule violations. IAC r. 481-67.10(5). The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of an Initial Certification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

**Dementia-specific assisted living program** - An assisted living program certified under 481 IAC -chapter 69 that: serves fewer than fifty-five (55) tenants and has five (5) or more tenants who have dementia between Stages 4 and 7 on the Global Deterioration Scale or serves 55 or more tenants and 10 percent or more of the tenants have dementia between Stages 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with dementia, such as Alzheimer's disease, in a dedicated setting.

**Overview:**

An on-site monitoring evaluation was conducted at Sunrise Assisted Living Suites on August 31, 2010. In preparing this report, the following information was considered:

**Current Program Census:**

**General Population Program (GPP)\*** – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	11
Current number of tenants with cognitive disorder:	0
Total Population:	11

**Dementia Specific Program (DSP)\*** – Not applicable.

**\*These are the census numbers represented by the program to be applicable at the time of the on-site.**

**Tenant/Family Satisfaction Results** – A community meeting with nine tenants and one family member was held. The tenants did not have any concerns with housekeeping, laundry or maintenance. They described staff as great, excellent, special, efficient and good. Staff responded quickly when the tenants called for assistance. They received all the services they requested. Staff knocked before they came into their apartments. The tenants described the food as good and reported the meat was tender. There was a good variety of foods served and the temperature of the food was appropriate most of the time, according to the tenants. The tenants requested more diabetic options at meals. There were sufficient activities and they received a schedule of activities. The tenants enjoyed music and exercises and they participated in activities of their choice. The tenants felt safe and they received what was promised at admission. The tenants made their own decisions and the nurse was available. The tenants were satisfied living at the program and would recommend it to others. The tenants enjoyed the staff and the quiet atmosphere.

**Program History** – There were no Regulatory Insufficiencies found during this certification period.

**On-Site Monitoring Evaluation** – The monitor(s) made the observations detailed in the following areas.

**A. Service Plan** – Program has an individualized service plan developed and updated for each tenant as required. [321 IAC 25.28]

Monitoring Observation: Four tenant files were reviewed.

Tenant #1, an 89 year old, was admitted on 7-28-10 and diagnoses include Atrial Fibrillation, Head Injury/Trauma, Hypercholesterolemia, Hyperglycemia, Hypertension (HTN), Hypothyroidism, Vertigo and Chronic Urinary Tract Infection (UTI). The tenant did not have a service plan updated within 30 days of taking occupancy.

Tenant #2, a 93 year old, was admitted on 5-18-10 and diagnoses include Acute Myocardial Infarction (MI), Sinus Node Dysfunction, Endocarditis, HTN, Asthma and Atrial Fibrillation. The tenant did not have a service plan updated within 30 days of taking occupancy.

- Regulatory Insufficiency: When a tenant needs personal or health-related care, the service plan shall be updated within 30 days of the tenant's occupancy and as needed with significant change, but not less than annually. (IAC r. 69.26(3))

## **B. Nurse Review**

Monitoring Observation: Four tenant files were reviewed.

Tenant #2's file was reviewed. The tenant received assistance with medication administration. A 90-day medication review was not completed.

Tenant #3, an 83 year old, was admitted on 2-16-10 and diagnoses include Parkinson's Disease, HTN, Anemia, Chronic Renal Insufficiency, Osteoarthritis and Osteopenia. The tenant received assistance with medication administration. A 90-day medication review was not completed.

- Regulatory Insufficiency: If a tenant does not receive personal or health-related care, but an observed significant change in the tenant's condition occurs, a nurse review shall be conducted. If a tenant receives personal or health-related care, the program shall provide for a registered nurse or a licensed practical nurse via nurse delegation: To monitor, at least every 90 days, or after a significant change in the tenant's condition, any tenant who receives program-administered prescription medications for adverse reactions to the medications and to make appropriate interventions or referrals, and to ensure that the prescription medication orders are current and that prescription medications are administered consistent with such orders. (IAC r. 481-69.27(1))

## **C. Staffing**

Monitoring Observation: Four staff files were reviewed.

Staff #1, #2, #3 and #4 did not have nurse delegation training related to Activities of Daily Living (ADLs). Staff did not have appropriate nurse delegation training.

- Regulatory Insufficiency: A sufficient number of trained staff shall be available at all times to fully meet tenants' needs. (IAC r. 481-67.9(1))

#### **D. Transportation**

Monitoring Observation: The program had a mini-van and provided transportation. Staff that drove the vehicle had Class C Iowa Drivers Licenses. Staff did not have Class D Chauffeurs Licenses with Endorsement 3.

- Regulatory Insufficiency: The driver shall have a valid and appropriate Iowa driver's license or commercial driver's license as required by law for the vehicle being utilized for transport. If the driver is licensed in another state, the license shall be valid and appropriate for the vehicle being utilized for transport. The driver shall meet any state or federal requirements for licensure or certification for the vehicle operated. (IAC r. 481-69.33(6))

Dated this 29th day of September 2010.