

CHESTER J. CULVER
GOVERNOR

DEAN A. LERNER, DIRECTOR

PATTY JUDGE
LT. GOVERNOR

October 8, 2009

John Steinbeck, Administrator
Mulberry Place
11 Deborah Dr.
Bloomfield, IA 52537-1174

RE: Recertification Monitoring Evaluation & Incident Investigation Report, Mulberry Place, Bloomfield, IA

Dear Mr. Steinbeck:

Enclosed is the **Recertification Monitoring Evaluation Report** completed by the Department of Inspections and Appeals (DIA) pursuant to the Code of Iowa Chapter 231C and the Iowa Administrative Code Chapter 25. **No Regulatory Insufficiencies were found during this evaluation.**

Enclosed is the **Incident Investigation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code section 231C.7 (2007) and Iowa Administrative Code, chapters 321—25, pertaining to assisted living programs and 321—26, pertaining to monitoring, civil penalties and complaints regarding assisted living programs. **No Regulatory Insufficiencies were identified.**

The review of the recertification documents you submitted has been completed and they are accepted. In addition, the State Fire Marshal's (SFM) inspection report has been received as well as the Facility Engineer's approval of the Evacuation Plans for your program.

Enclosed you will find the Assisted Living Program Certificate **#S0123** with effective dates of **September 18, 2009 through September 17, 2011**. This certificate must be posted in the building for public viewing.

If you have any questions regarding this certification, please contact me at 515-281-4116.

Sincerely,



Chris Nothaft
Certification Coordinator – Eastern Iowa
Adult Services Bureau

Enclosure

LUCAS STATE OFFICE BUILDING, 321 EAST 12TH STREET, DES MOINES, IOWA 50319-0083

ADMINISTRATION
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INVESTIGATIONS
(515) 281-5714
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Iowa Department of Inspections and Appeals
Assisted Living Program
Final Recertification Monitoring Evaluation & Incident Investigation Report

Assisted Living Program:

Incident#: 24429-I

John Steinbeck, Administrator
Mulberry Place
11 Deborah Dr.
Bloomfield, Iowa 52537

Date of Monitoring Visit:

August 31, 2009

Monitor(s):

Michael Streepy RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Recertification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Mulberry Place on August 31, 2009. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder: 11

Current number of tenants with cognitive disorder: 2

Total Population: 13

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Tenant/Family Satisfaction Results – A community meeting was held with 10 tenants. The tenants stated the living environment is very nice and well maintained. They characterized the staff as excellent, friendly and helpful with responding to requests for assistance taking two minutes at most. The tenants stated food is very good with plenty offered. The only thing they could think of to make the program better would be a different television server. Tenants stated activities are good with a variety offered but they would like more musical offerings. Tenants feel safe in the program and participate in monthly fire drills. They are receiving services as they expected and as written in the occupancy agreements and are aware of the limitations. Tenants stated they make their own decisions without restrictions and come and go as they please. They are satisfied with medical services, with staff response and in getting assistance with getting hospital or physician services. Tenants indicated general satisfaction with the program and stated they have recommended the program to family and friends. No other concerns were presented.

Program History – There have been no substantiated Regulatory Insufficiencies during this past certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

At the time of the recertification visit the program was found to be in substantial compliance with the regulations.

Incident Investigation – The investigator(s) made the observations detailed in the following areas.

A. Evaluation of Tenant - The program evaluates each tenant's functional and cognitive abilities and health status and the determination of needed services as required. [321 IAC 25.23(1) and/or (2)]

Incident Allegation #24429-I: It is alleged that a tenant had fallen in his/her apartment sustaining a skin tear on the left arm and a laceration on the mid frontal lobe area. The tenant required emergency room treatment and was taken to the emergency room by a family member.

Monitoring Observation: Tenant #1, a 91 year old, was admitted to the program on 05-23-09 with diagnoses including: Congestive Heart Failure (CHF), Coronary Artery Disease (CAD), Hypertension (HTN) and Chronic Renal Failure. The tenant had a score of 1 on the Short Portable Mental Status Questionnaire indicating intact intellectual functioning. The tenant was evaluated as independent with all activities of daily living including ambulation and transfers, the tenant required assistance with medications. A review of the tenant record revealed no history of falls. The tenant had fallen in his/her apartment on 07-16-09 and did not call for assistance but called his/her daughter after an hour and informed her of the fall. Staff responded to the tenant apartment. The nurse in attendance at the time of the incident was interviewed and stated he had done a dressing change for the tenant earlier in the shift and the tenant seemed fine. The nurse thought there was some kind of sudden change. The nurse stated the tenant was cleaned up and his/her daughter took him/her to the emergency room for treatment of the injuries to his arm and forehead. The tenant returned to the facility on 07-20-09 and was admitted to the skilled area of the facility.

The tenant continued to decline on return to the facility and expired on 07-27-09.

- Regulatory Insufficiency: None noted.

B. Criteria for exclusion of tenants - Program does not admit or retain tenants that require a higher level of care, unless a written exception has been applied for and approved. [321 IAC 25.23(3); 25.24]

Monitoring Observation: Tenant #1 was evaluated as independent with all activities of daily living including ambulation and transfers, the tenant required assistance with medications. A review of the tenant record revealed no history of falls.

- Regulatory Insufficiency: None noted.

Dated this 14th day of September, 2009.