

INSPECTIONS & APPEALS

CHESTER J. CULVER
GOVERNOR

DEAN A. LERNER, DIRECTOR

PATTY JUDGE
LT. GOVERNOR

January 22, 2009

CERTIFIED

Complaint #20877-M

Ms. Jean Parrish, Administrator
Prime Living Apartments
725 Pearl Street
Sioux City, IA 51103

**RE: I. Final Complaint Investigation, Prime Living Apartments, Sioux City, IA
II. Civil Penalty
III. Conclusion**

Dear Ms. Parrish:

I. Final Complaint Investigation, Prime Living Apartments, Sioux City, IA

Enclosed is the **Final Complaint Investigation Report** completed by the Department of Inspections and Appeals (DIA) in accordance with the Code of Iowa, Chapter 231C, Iowa Administrative Code, chapter 25, pertaining to assisted living programs and, chapter 26, pertaining to monitoring, civil penalties and complaints regarding assisted living programs. The report notes the Regulatory Insufficiency in the area of Record Checks. A copy of the Final Report is enclosed.

II. Civil Penalty

If no appeal is requested within 30 days of receipt of this Final Complaint Investigation Report, the civil penalty obligation, in the amount of five hundred dollars (\$500), is required.

III. Conclusion

The Plan of Correction that was submitted is accepted. DIA may revisit the program to confirm compliance in fulfilling the POC's corrective measures. If the program wishes to appeal or request a hearing on the final findings, the program may do so within 30 days of this letter.

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(515) 281-5714
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Ms. Parrish
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If you have any questions regarding this certification, please contact me at 515-281-5721.

Sincerely,

A handwritten signature in cursive script that reads "Tamara Halvorson". The signature is written in dark ink and is positioned above the printed name and title.

Tamara Halvorson
ASB Lead Certification Coordinator
Adult Services Bureau

Enclosure

**Iowa Department of Inspections and Appeals
Assisted Living Program
Final Complaint Investigation Report**

Assisted Living Program:

Complaint Intake #: 20877-M

Jean Parrish, Administrator
Prime Living Apartments
725 Pearl Street
Sioux City, Iowa 51103

Date of Investigation:

December 17 & 18, 2008

Monitor(s):

Michael Streepy, RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 26.2(5)(a)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Complaint Investigation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under 321 IAC - chapter 25 that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing special care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

A complaint investigation on-site visit was conducted at Prime Living Apartments on December 17 & 18, 2008. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder: 56

Current number of tenants with cognitive disorder: 1

Total Population: 57

Dementia Specific Program (DSP) – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Accreditation Status – Not applicable.

Program History – There was a substantiated regulatory insufficiency in the area of Nurse Review during this certification period.

Complaint Investigation – The complaint investigator(s) made the observations detailed in the following areas.

A. Record Checks – The program conducts applicable employee record checks. [Iowa Code section 135C.33(5)]

During the course of the investigation the following information was obtained.

- Monitoring Observation: Review of personnel records revealed Staff #1 a Universal worker with a hire date of 06-18-08 had a criminal background check dated 6-19-08 revealing a hit related to possession of a controlled substance. The record contained no documentation from the Department of Human Services for clearance for employment.
- Regulatory Insufficiency: The program did not complete a Department of Public Safety, criminal history check and a Department of Human Services, dependent adult abuse check of the potential employee prior to hire. (135C.33(1))

Dated this 22nd day of January 2009.