IOWA DEPARTMENT OF
INSPECTIONS & APPEALS

CHESTER J. CULVER
GOVERNOR

PATTY JUDGE
LT. GOVERNOR

DEAN A. LERNER, DIRECTOR

September 24, 2008

Ms. Beth Messinger, Administrator
Bickford Cottage Assisted Living
3301 Sterling Drive
Burlington, IA 52601-8660

RE: I. Final Recertification Monitoring Report
II. Civil Penalty
III. Hearings and Appeals
IV. Conclusion

Dear Ms. Messinger:

I. Final Recertification Monitoring Report – Bickford Cottage Assisted Living, Burlington, IA

Enclosed is the **Final Recertification Monitoring Report** completed by the Department of Inspections and Appeals (DIA) in accordance with Iowa Code section 231C.7 (2007) and Iowa Administrative Code, chapters 321—25, pertaining to assisted living programs and 321—26, pertaining to monitoring, civil penalties and complaints regarding assisted living programs. The final Report notes a **Regulatory Insufficiency** in the area of Record Checks.

DIA is accepting the Plan of Correction (POC).

II. Civil Penalty

If no appeal is requested within 30 days of receipt of this Final Report the civil penalty obligation, in the amount of five hundred ($500.00) dollars, is required.

III. Hearings/Appeals

The Program may appeal the DIA decision in accordance to 321 IAC 26.4, within 30 days of notice, by submitting a request for appeal to the DIA. Hearings will be held pursuant to 481 IAC Chapter 10. If the program appeals, the civil penalty will be suspended, pending appeal. If the program does not appeal, the fine is due within 30 days of notice.

IV. Conclusion

Bickford Cottage Assisted Living in Burlington is being assessed a $500.00 civil money penalty.

The Plan of Correction is accepted. DIA may revisit the program to confirm compliance in fulfilling the POC's corrective measures. If the program wishes to appeal or request a hearing on the final findings, the program may do so within 30 days of this letter.

If you have any questions in regard to these matters, please contact me at (515) 281-5077.

Sincerely,

Ann Martin, Bureau Chief
Adult Services Bureau

Enclosure

Iowa Department of Inspections and Appeals
Assisted Living Program
Final Recertification Monitoring Evaluation Report

Assisted Living Program:

Ms. Beth Messinger, Administrator
Bickford Cottage Assisted Living
3301 Sterling Drive
Burlington, IA 52601-8660

Date of Monitoring Visit:

August 26, 2008

Monitor(s):

Hal Chase, RN BSN MPH

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of an Initial Certification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Bickford Cottage Assisted Living – Burlington on August 26, 2008. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	43
Total Population:	43

Dementia Specific Program (DSP)* – A program that is a Dementia Specific Program by definition or holds itself out as providing specialized care in a dedicated setting but may have tenants without cognitive disorder.

Current number of tenants in a DSP that holds itself out as providing specialized care in a dedicated setting:	5
Total Population:	5

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Tenant/Family Satisfaction Results – A community meeting was held in the dining room with thirty two tenants in attendance. Tenants stated housekeeping does a good job keeping their apartments clean, staff are helpful, friendly, polite and courteous. Tenants stated the food is good and they like the variety that is offered. They enjoy the activities and like the choices. Tenants stated they feel safe, are getting the services they expected and make their own decisions. They are satisfied with the program and would recommend it to their friends and family.

Program History – There were substantiated Regulatory Insufficiencies this certification period in the areas of: Occupancy Agreement, Evaluation of Tenants, Service Plans, Nurse Review and Staffing.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Record Checks – The program conducts applicable employee record checks. [Iowa Code section 135C.33(5)]

Monitoring Observation: Program files indicated Staff #1 was hired on 7-14-08 and Staff #2 was hired on 4-16-08. The program could not provide documentation a criminal background and dependent adult abuse check were completed.

- Regulatory Insufficiency: The program did not complete a Department of Public Safety, criminal history check and a Department of Human Services, dependent adult abuse check of the potential employees, prior to hire. (135C.33(1))

Dated this 24th day of September, 2008.