

**Iowa Department of Inspections and Appeals
Assisted Living Program
Final Recertification Monitoring Evaluation Report**

Assisted Living Program:

Charles A. Pleak, Administrator
Oakland Heights Assisted Living
903 N. Scenic Drive
Oakland, IA 51560

Date of Monitoring Visit:

February 18, 2008

Monitor(s):

Lincoln Newsom, RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Recertification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Oakland Heights Assisted Living on February 18, 2008. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder: 7

Current number of tenants with cognitive disorder: 1

Total Population: 8

Dementia Specific Program (DSP) – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Tenant/Family Satisfaction Results – A community meeting was held with seven tenants. Tenants indicated the staff are cleaning constantly, and it is a nice environment. Staff are respectful, helpful and kind. Sometimes the food is better than other times. Tenants stated they could not think of anything the Administrator could do to make the program any better. There are enough activities and if tenants choose, they can have club meetings in the program. Tenants felt safe, were getting what they were paying for and were making their own decisions. If there was an emergency, they would use their personal emergency call pendants and there had never been any problems with staff responding. Tenants would recommend the program to friends and family.

Program History – There were no substantiated complaints during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Nurse Review - Program provides a registered nurse to ensure the appropriate administration and documentation of medications, ensure that physician orders are current, and assess and document the tenants' health related activities. [321 IAC 25.30]

Monitoring Observation: A review of Tenant #1, #2, and #3 files indicated physician orders were not consistently timed or dated.

Regulatory Insufficiency: The program did not consistently have written documentation showing date and time of physician orders. (25.30(4))

Dated this 7th day of March 2008.

Oakland Heights
904 N. Scenic Drive
Oakland, IA 51560

March 3, 2008

Iowa Department of Inspections and Appeals
Division of Health Facilities
Lucas State Office Building
Des Moines, IA 50319

To: Department of Inspections and Appeals
Re: Re-certification Plan of Correction

An on-site monitoring evaluation was conducted at Oakland Heights Assisted Living on February 18, 2008. Based on the surveyor's report, Oakland Heights had the following deficiency:

- A. Nurse Review** - Program provides a registered nurse to ensure the appropriate administration and documentation of medications, ensure that physician orders are current, and assess and document the tenants' health related activities. [321 IAC 25.30]

Monitoring Observation: A review of Tenant #1, #2, and #3 files indicated physician orders were not consistently timed or dated.

Regulatory Insufficiency: The program did not consistently have written documentation showing date and time of physician orders. (25.30(4))

Corrective Action: All physician orders will be noted, dated and timed by the nurse processing the order. The assistant administrator will periodically audit to ensure that there is appropriate written documentation showing date and time on physician orders.

Respectively submitted for your approval,

Judy K. Pleak RN, Assistant Administrator
Melissa Akers, RN, Program Coordinator