

**Iowa Department of Inspections and Appeals
Assisted Living Program
Final Recertification Monitoring Evaluation Report**

Assisted Living Program:

William Byerly, Administrator
Summit Heights Independent And Assisted Living
8 S Summit Ave
Nora Springs, IA 50458-8638

Date of Monitoring Visit:

December 17, 2007

Monitor(s):

Stephanie Cummins, SW MA

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Recertification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Summit Heights Independent and Assisted Living on December 17, 2007. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	6
Current number of tenants with cognitive disorder:	2
Total Population:	8

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Tenant/Family Satisfaction Results – A community meeting with six tenants and one tenant interview were held. The tenants indicated the program was clean and well kept. Housekeeping was completed one time per week and there were no issues with housekeeping, maintenance or laundry. The tenants indicated they were getting their needs met and described staff as great, wonderful and “super.” They stated the manager did a great job; however, she was pushed to the limits and was very busy. There were no issues with staff response from the nursing facility. The tenants indicated the temperature and quality of the food was appropriate. There was too much food served and they requested more fresh fruits, variety of vegetables, including raw vegetables. The tenants requested more activities at the program instead of at the nursing facility; however, there were enough activities at the nursing facility. The tenants stated they would attend more activities if they took place at the program. They enjoyed participating in Bingo and playing card games. The tenants indicated they felt safe and several tenants stated they did not lock their apartment doors. They reported getting what they paid for and indicated they made their own decisions. The tenants stated when they requested assistance from nursing facility it was a nurse that responded. The tenants indicated they were satisfied with the program and they would recommend to others. They stated the manger made the program such a great place and one tenant indicated the pleasant staff and security provided made it a great place.

Complaint History – There were no substantiated complaints during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Medications – Program has a comprehensive written medication policy that allows tenant self-administration, but accommodates the need for tenant medication assistance via nurse delegation. The medication assistance is appropriately supervised and administered. Medications are appropriately stored. [231C.16A]

Monitoring Observation: A medication pass was observed and medications were administered to two tenants. Staff #1 administered medications to Tenant #2. The staff washed her hands, opened the office door and then proceeded to administer medications to the tenant without sanitizing or hand washing. Staff #1 administered medications to Tenant #3 in his/her apartment. The staff did not sanitize or wash hands prior to administering medications to the tenant.

- Regulatory Insufficiency: The program did not consistently follow an acceptable medication protocol (IAC655-Chapter 6).

Dated this 8th day of January, 2008.