

**Iowa Department of Inspections and Appeals
Assisted Living Program
Final Recertification Monitoring Evaluation Report**

Assisted Living Program:

Lonny Smith, Program Administrator
Forest Plaza Assisted Living
635 E US Highway 9
Forest City, IA 50436-1028

Date of Monitoring Visit:

October 29, 2007

Monitor(s):

Hal Chase, RN BSN MPH
Michael Streepy, RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Recertification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Forest Plaza Assisted Living on October 29, 2007. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	32
Current number of tenants with cognitive disorder:	3
Total Population:	35

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable at the time of the on-site.**

Tenant/Family Satisfaction Results – A community meeting was held with 25 tenants attending. Tenants stated the program couldn't be better. Tenants characterized staff as A-1, supportive and considerate; with the call response being 2 to 3 minutes. Tenants stated the food is very good and there is plenty; although they could use more fruits and vegetables. Tenants felt staff and Administration were good and they could think of nothing to improve the program. Tenants stated satisfaction with activities, there was plenty to do. They feel safe and the program is meeting their expectations. Tenants stated they make decisions independently and are satisfied with the program and would recommend it to friends and family.

Complaint History – There were no substantiated complaints during this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Staffing - The provisions of this section address the program's staffing and training practices and associated documentation in responding to the identified needs of the tenant. [321 IAC 25.33]

Monitoring Observation: Three staff files for universal workers were reviewed. The files revealed no documented evidence of training for personal and health related cares and medication administration. The registered nurse stated and confirmed, although the files revealed no documented evidence of training, the staff did receive training for personal and health related cares and medication administration.

- Regulatory Insufficiency: The program did not have training and staffing plans on file and did not maintain documentation of training received by program personnel. (25.33 (7))

Dated this 7th day of November, 2007