

**Iowa Department of Inspections and Appeals
Assisted Living Program
Recertification Monitoring Evaluation Report**

Assisted Living Program:

Laurie Lockard, Manger
Maple Crest Assisted Living
98 Bolger Drive
Fayette, IA 52142

Date of Monitoring Visit:

March 7, 2006

Monitor(s):

Stephanie Cummins, SW MA

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Re-certification Monitoring Evaluation Report. Depending on the circumstances, DIA may re-visit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Maple Crest Assisted Living on March 7, 2006. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	12
Current number of tenants with cognitive disorder:	1
Total Population:	13

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable.**

Tenant/Family Satisfaction Results – A community meeting with 10 tenants, one family interview and one tenant interview were conducted at the time of the recertification visit. Tenants report the food is good and no improvements were needed. Family states they have had lunch at the program and report the food was of good quality. They indicate the building is clean and has a nice atmosphere. Tenants state the staff are congenial, kind and their needs are met. They state there are activities at the nursing home including bingo and music and they play cards and do puzzles at the program. The tenants report they have regular fire drills and they feel safe. Family states socialization and security are benefits of the program. Family and tenants offered no improvements or concerns regarding any aspect of the program.

Complaint History – There were no substantiated complaints this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Evaluation of Tenant - The program evaluates each tenant's functional and cognitive abilities and health status and the determination of needed services as required. [321 IAC 25.23(1) and/or (2)]

Monitoring Observation: The Licensed Practical Nurse (LPN) stated, in an interview, the cognitive and health evaluations are completed after tenants move into the program.

- Regulatory Insufficiency: The program does not evaluate tenants' cognitive and health evaluations prior to taking occupancy.

Monitoring Observation: Three tenant files were reviewed. Two of three tenant files have initial functional assessment completed by a LPN and not a health or human service professional.

- Regulatory Insufficiency: The program does not have initial functional evaluations completed by a health or human service professional.

Monitoring Observation: Three tenant files were reviewed. None of the three tenant files had cognitive evaluations within 30 days of taking occupancy.

- Regulatory Insufficiency: The program does not complete cognitive evaluations within 30 days of taking occupancy.

B. Service Plan – Program has an individualized service plan developed and updated for each tenant as required. [321 IAC 25.28]

Monitoring Observation: Three tenant files were reviewed. Two of three files have service plans that were updated at 30 days by the LPN only. The tenant and two staff did not sign the service plan within 30 days of taking occupancy.

- Regulatory Insufficiency: The program does not update service plans within 30 days of taking occupancy and does not have a health care professional, two staff and the tenant or the tenant's legal representative sign the plans.

C. Medications – Program has a comprehensive written medication policy that allows tenant self-administration, but accommodates the need for tenant medication assistance via nurse delegation. The medication assistance is appropriately supervised and administered. Medications are appropriately stored. [321 IAC 25.29(1) and/or (2)]

Monitoring Observation: Staff administered medications to one tenant and initialed on the Medication Administration Record (MAR) the medications had been given before administering the medication to the tenant.

- Regulatory Insufficiency: The program does not follow an acceptable medication protocol.

D. Nurse Review - Program provides a registered nurse to ensure the appropriate administration and documentation of medications, ensure that physician orders are current, and assess and document the tenants' health related activities. [321 IAC 25.30]

Monitoring Observation: The Manager and the Registered Nurse (RN) indicated in an interview that medications were not being reviewed every 90 days prior to February of 2006. The current medication review consists of the RN signing off on the medication sheet and sending the sheet to the physician to sign.

- Regulatory Insufficiency: The program does not review medications every 90 days.

Dated this 14th day of March 2006