

**Iowa Department of Inspections and Appeals
Assisted Living Program
Recertification Monitoring Evaluation Report**

Assisted Living Program:

Mr. John Buck, Administrator
Premier Estates Assisted Living
190 15th Street
Onawa, IA 51040

Date of Monitoring Visit:

April 27, 2006

Monitor(s):

Hal L. Chase, RN BSN MPH

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Recertification Monitoring Evaluation Report. Depending on the circumstances, DIA may revisit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Premier Estates Assisted Living on April 27, 2006. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP)* – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	12
Current number of tenants with cognitive disorder:	0
Total Population:	12

Dementia Specific Program (DSP)* – Not applicable.

***These are the census numbers represented by the program to be applicable.**

Tenant/Family Satisfaction Results – A community meeting was held with 11 tenants. Tenants report the housekeeping they receive is excellent and state the cleanliness of the building is one of the things staff do best. Tenants report staff are courteous, trained to provide the care they need, and handle any situation that may come up. Tenants report the nurse is accessible as is the administrator. Tenants report they don't like the food. They report it is not of good quality with hot foods often cold and cold foods often warm. Tenants would like more fresh fruits and vegetables and feel their complaints have gone unanswered. Tenants report they are getting the services they are paying for and would recommend this program to family and friends.

Complaint History – There were no substantiated complaints this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Evaluation of Tenant - The program evaluates each tenant's functional and cognitive abilities and health status and the determination of needed services as required. [321 IAC 25.23(1) and/or (2)]

Monitoring Observation: Three of three tenant files reviewed indicate the program completes functional and health evaluations, but does not consistently complete a cognitive evaluation when a change in the tenant's status occurs. Tenant #1, 95 years old, was admitted on 3/1/06 with diagnoses of Deafness, Diverticulosis, and Abdomen Lymphoma. The program completed a functional and health evaluation prior to admission but did not complete a cognitive evaluation prior to or within 30 days of admission to the program. Tenant #2, 87 years old, was admitted to the program on 6/13/02 with diagnoses of Peripheral Vascular Disease, Hiatal Hernia, Peptic Ulcer, and Colon Polyps. The program noted a change in tenant's status and completed a functional and health evaluation on 4/11/06, but did not complete a cognitive evaluation as required. Tenant #3, 93 years old, was admitted to the program on 4/26/00 with diagnoses of Coronary Heart Disease, Degenerative Joint Disease, and a history of Hiatal Hernia. The program noted a change in the tenant's status and completed a functional and health evaluation on 4/25/06, but did not complete a cognitive evaluation.

- Regulatory Insufficiency: The program does not consistently evaluate tenant's cognitive abilities prior to admission, within 30 days of admission and as needed with a change in condition.

B. Tenant Documents – Program maintains a complete file for each tenant containing all appropriate documentation. [321 IAC 25.27]

Monitoring Observation: Two of three tenant files indicate the program does not have the tenant sign their code status, which indicates whether the tenant requests resuscitation or no resuscitation during a medical emergency.

- Regulatory Insufficiency: The program does not have signed authorization from the tenant indicating the tenant's code status.

C. Nurse Review - Program provides a registered nurse to ensure the appropriate administration and documentation of medications, ensure that physician orders are current, and assess and document the tenants' health related activities. [321 IAC 25.30]

Monitoring Observation: Two of three tenant files reviewed indicate the program does not consistently complete a nurse review every 90 days. Tenant #2 receives health and personal cares from the program. A nurse review was completed on 4/11/06, but no nurse review was completed prior to 4/11/06 and during all of 2005. Tenant #3 receives program administered medications and health and personal cares from the program. A nurse review was completed on 4/25/06, but no nurse review was completed prior to 4/25/06 and during all of 2005.

- Regulatory Insufficiency: The program does not consistently complete a nurse review every 90 days when the program administers prescribed medications and provides health and personal cares to tenants.

Dated this 8th day of May 2006.