

**Iowa Department of Inspections and Appeals
Assisted Living Program
Re-certification Monitoring Evaluation Report**

Assisted Living Program:

Mr. Gary Durden, Administrator
Premier Estates
1510 South Carroll
Rock Rapids, IA 51246

Date of Monitoring Visit:

May 5, 2005

Monitor(s):

Hal L. Chase, RN BSN MPH

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Re-certification Monitoring Evaluation Report. Depending on the circumstances, DIA may re-visit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Premier Estates on May 5, 2005. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP) – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	22
Current number of tenants with cognitive disorder:	1
Total Population:	23

Dementia Specific Program (DSP) – Not applicable.

Tenant/Family Satisfaction Results – A community meeting was held with 23 tenants in the dining room. Tenants reported they enjoy living at the program and indicated the surroundings are nice and the building is well maintained. Tenants stated the staff are very respectful, patient, kind, and well trained. Tenants also reported the administrator and director have been very accommodating and friendly to everyone. Tenants reported there is no shortage of activities to involve themselves if they choose to be involved. Tenants stated they are receiving the services they expected, they are in charge of their own lives and feel they can make their own choices. Tenants reported they would recommend this program to friends and family. Two family members were interviewed and stated they are very happy with the services that are provided by the program. Family members feel that staff, including the administrator, director and nurse are very accommodating to the tenants and take a special interest in the tenant's welfare. Family members would recommend this program to others within the community.

Complaint History – There were no substantiated complaints this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Evaluation of Tenant - The program evaluates each tenant's functional and cognitive abilities and health status and the determination of needed services as required. [321 IAC 25.23(1) and/or (2)]

Monitoring Observation: Five of five tenant files indicated the program was using the Brief Cognitive Rating Scale (BCRS) on all tenants prior to admission; however, no score was elicited. The program did not use the BCRS or Global Deterioration Scale (GDS) within 30 days of admission to reassess each tenant. Two of four files indicated that the health assessment was not reviewed within 30 days of admission to the program.

- Regulatory Insufficiency: The program did not use a scored, objective tool to assess a tenant's cognitive ability prior to admission and within 30 days of admission.
- Regulatory Insufficiency: The program did not re-evaluate the tenant's health status within 30 days of admission to the program.

B. Nurse Review - Program provides a registered nurse to ensure the appropriate administration and documentation of medications, ensure that physician orders are current, and assess and document the tenants' health related activities. [321 IAC 25.30]

Monitoring Observation: Five of five tenant files reviewed indicated that the program nurse was not reviewing physician orders and medications documenting time, date and signature of review.

- Regulatory Insufficiency: The program RN did not review physician orders and medications documenting time, date and signature of review.

Dated this 5th day of May, 2005