

**Iowa Department of Inspections and Appeals
Assisted Living Program
Initial Certification Monitoring Evaluation Report**

Assisted Living Program:

Bobbie Shirkey
Leland Smith Assisted Living
309 Oveson Drive
Wilton, IA 52778

Date of Monitoring Visit:

June 9, 2004

Monitor(s):

Judy Parks, RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of an Initial Certification Monitoring Evaluation Report. Depending on the circumstances, DIA may re-visit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder at Stage 4 or above on the Global Deterioration Scale or holds itself out as providing special care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Leland Smith on June 9, 2004. In preparing this report, the following information was considered:

Current Program Census:

General Population Program -

Current General Population ALP Census: N/A Current number of tenants with dementia: N/A

Dementia Specific Program –

Program is a self-declared dementia-specific program with five tenants.

Tenant/Family Satisfaction Results – Interviews with three tenants indicated overall satisfaction with the program. Tenants expressed their rights to make choices, satisfaction with the environment, staff and food. Everyone felt safe and that they were receiving the services they had signed for in the Occupancy Agreement.

Complaint History – N/A

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Service Plan Required – Program has an individualized service plan developed and updated for each tenant, as required.
[321 IAC 25.28(3)]

Monitoring Observation: Review of three tenant records indicated that the service plan, which was based on the preadmission evaluations as well as one that had been updated 30 days following admission, did not have any signatures.

- Regulatory Insufficiency: The program failed to provide the signatures of a multidisciplinary team on the service plan.

Dated this 10th day of June 2004.