

**Iowa Department of Inspections and Appeals
Assisted Living Program
Re-certification Monitoring Evaluation Report**

Assisted Living Program:

Kim Schaffer
Bickford Cottage
1150 13th Avenue North
Clinton, IA 52732

Date of Monitoring Visit:

July 19, 2004

Monitor(s):

Stephanie Cummins, SW

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Re-certification Monitoring Evaluation Report. Depending on the circumstances, DIA may re-visit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Bickford Cottage of Clinton on July 19, 2004. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP) – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	28
Current number of tenants with cognitive disorder:	13
Total General Population:	28

Dementia Specific Program (DSP) –

Current number of tenants in a DSP that serves 5 or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale (GDS):	9
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Tenant/Family Satisfaction Results – Results of the community meeting and tenant interviews indicated the tenants at the program had complaints in regards to the meals served at the program. Tenants stated the meals are often served late and the dining room was too crowded. The tenants indicated they enjoyed the activities provided by the program. Tenants also stated the staff was very nice and were helpful. The tenants indicated they always felt safe at the program.

Complaint History – During this certification period, there was a substantiated complaint in the areas of Evaluations, Occupancy and Transfer Criteria, and Transfer Planning.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Service Plan – Program has an individualized service plan developed and updated for each tenant as required. [321 IAC 25.28]

Monitoring Observation: Five tenant files were reviewed. One of the five files had a service plan developed prior to the tenant taking occupancy. Interviews with administrative staff indicated a service plan was completed shortly after taking occupancy and then within 30 days. Tenant #2 had a Power of Attorney (POA) that signed a service plan dated June 4, 2004. The program provided appropriate documentation of the POA, however, the POA is approved for financial and not health-related matters. An interview with Staff Member #1 indicated the tenant was clear of mind.

- Regulatory Insufficiency: The program did not develop a service plan for all tenants prior to taking occupancy.
- Regulatory Insufficiency: The program did not obtain proper documentation from an appropriate legal representative to sign the service plan.

Dated this 15th day of September 2004.