

**Iowa Department of Inspections and Appeals
Assisted Living Program
Initial Certification Monitoring Evaluation Report**

Assisted Living Program:

David Ayers, Administrator
Riverside Senior Living
209 Park Dr.
Charles City, IA 50616

Date of Monitoring Visit:

September 9, 2004

Monitor(s):

Mary Oliver, LISW
Hal Chase, RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of an Initial Certification Monitoring Evaluation Report. Depending on the circumstances, DIA may re-visit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Riverside Senior Living on September 9, 2004. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP) – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	6
Current number of tenants with cognitive disorder:	1
Total General Population:	7

Dementia Specific Program (DSP) – Not applicable.

Tenant/Family Satisfaction Results – Six of seven tenants were interviewed in a group setting. Because of the small group, individual interviews were not conducted. Six of six tenants expressed great satisfaction with the program and made positive comments about staff members, food, and activities. The tenants further stated that they received immediate attention when they called for help or had a health concern.

Complaint History – No complaints on file this certification period.

On-Site Monitoring Evaluation – The monitor(s) made the observations detailed in the following areas.

A. Service Plan – Program has an individualized service plan developed and updated for each tenant as required. [321 IAC 25.28]

- Monitoring Observation: For Tenant #1, the goals/identified needs on the service plan were general and did not state that the tenant was having frequent falls. The action plan did not address staff responsibility when the tenant fell nor were actions planned to help reduce the tenant's number of falls. For Tenant #2, the goal addressed the tenant's confusion but did not describe when and how the tenant became confused. The action plan did not include staff's response to reduce the tenant's level of confusion.
- Regulatory Insufficiency: The program did not ensure service plans addressed identified needs of tenants.

B. Medications – Program has a comprehensive written medication policy that allows tenant self-administration, but accommodates the need for tenant medication assistance via nurse delegation. The medication assistance is appropriately supervised and administered. Medications are appropriately stored. [321 IAC 25.29(1) and/or(2)]

Monitoring Observation: Medications set up for tenants, by program staff members, were stored in unlocked drawers in tenant rooms. Tenants had access to the medications without the assistance of the staff person responsible for administration of the medication.

- Regulatory Insufficiency: Medications stored by the program were not kept in a locked place or container that was not accessible to persons other than employees responsible for administration or storage of the medications.

Dated this 30th day of September 2004.