

**Iowa Department of Inspections and Appeals
Assisted Living Program
Re-certification Monitoring Evaluation Report**

Assisted Living Program:

Theresa Hogenson, Director
Bickford Cottage of Muscatine
2807 Cedar St.
Muscatine, IA 52761

Date of Monitoring Visit:

July 21, 2004

Monitor(s):

Beverly A. Johnson, RN

Definitions:

The following definitions are relevant:

Regulatory Insufficiency - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

Plan of Correction - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Re-certification Monitoring Evaluation Report. Depending on the circumstances, DIA may re-visit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

Dementia-specific assisted living program - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

Overview:

An on-site monitoring evaluation was conducted at Bickford Cottages of Muscatine on July 21, 2004. In preparing this report, the following information was considered:

Current Program Census:

General Population Program (GPP) – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	33
Current number of tenants with cognitive disorder:	6
Total General Population:	39

Dementia Specific Program (DSP) – Not applicable.

Accreditation Status – Not applicable.

Complaint History –

Complaint Investigation – The complaint investigator(s) made the observations detailed in the following areas.

A. Occupancy Agreement – The program shall enter into an occupancy agreement with the tenant or tenant’s legal representative, if applicable, prior to the tenant’s taking occupancy that clearly describes the rights and responsibilities of the tenant and of the program, and shall sign a managed risk policy disclosure statement. [321 – IAC 25.22]

Monitoring Observation: Six of the seven records reviewed had the tenant or legal representative signature on the occupancy agreement and one was signed by a daughter who was not a legal representative. None of the seven were signed prior to occupancy.

- **Regulatory Insufficiency:** The program did not complete the occupancy agreement prior to occupancy and did not have an appropriate signature on all records.

B. Evaluation of Tenant - The program evaluates each tenant’s functional and cognitive abilities and health status and the determination of needed services as required. [321 IAC 25.23(1) and/or (2)]

Monitoring Observation: Only one of the seven records reviewed had the tenant evaluation completed and documented prior to occupancy.

- **Regulatory Insufficiency:** The program did not perform tenant evaluations prior to occupancy.

C. Service Plan – Program has an individualized service plan developed and updated for each tenant as required. [321 IAC 25.28]

Monitoring Observation: Of the seven records reviewed, none of the seven had three staff signatures which were dated at the same time as the plan was developed. (Some were one to two months later.) Only one of the seven records reviewed had a service plan dated prior to occupancy.

- **Regulatory Insufficiency:** The program did not develop service plans prior to occupancy and did not have the three staff signatures required on service plans.

Dated this 17th day of September 2004.