

**Iowa Department of Inspections and Appeals  
Assisted Living Program  
Re-certification Monitoring Evaluation Report**

**Assisted Living Program:**

Rodney Buch  
Lakeview Village  
3012 F Drive  
Amana, IA 52203

**Date of Monitoring Visit:**

November 16, 2004

**Monitor(s):**

Stephanie Cummins, SW

**Definitions:**

The following definitions are relevant:

**Regulatory Insufficiency** - A violation of a statutory or rule provision within the Iowa Code or Iowa Administrative Code (IAC) governing assisted living programs. A regulatory insufficiency requires a plan of correction to be presented to, and approved by, the Department of Inspections and Appeals (DIA).

**Plan of Correction** - A written response to one or more regulatory insufficiencies that are rule violations [321 IAC 25.8(3)]. The plan should identify how, and by a specific date, an insufficiency will be corrected. The plan is due to DIA within ten (10) working days of the program's receipt of a Re-certification Monitoring Evaluation Report. Depending on the circumstances, DIA may re-visit the assisted living program to confirm progress in fulfilling a plan's corrective measures.

**Dementia-specific assisted living program** - An assisted living program certified under this chapter that either serves five or more tenants with dementia or cognitive disorder staged between 4 and 7 on the Global Deterioration Scale or holds itself out as providing specialized care for persons with cognitive disorder or dementia, such as Alzheimer's disease, in a dedicated setting.

**Overview:**

An on-site monitoring evaluation was conducted at Lakeview Village on November 16, 2004. In preparing this report, the following information was considered:

**Current Program Census:**

**General Population Program (GPP)** – A program that is not a Dementia Specific program, but may have tenants with cognitive disorder.

Current number of tenants without cognitive disorder:	23
Current number of tenants with cognitive disorder:	5
Total Population:	28

**Dementia Specific Program (DSP)** – *Not applicable.*

**Tenant/Family Satisfaction Results** – Results of the community meeting and tenant and family interviews indicated the tenants were satisfied with every aspect of the program. Tenants stated program staff were always kind, helpful, friendly, and responded to the tenants in a prompt manner. Tenants stated the program staff was caring when they helped them with personal cares or tasks. Tenants and family indicated the food served at the program was good and the program had a variety of foods served. The tenants stated they enjoyed the activities offered at the program, especially, the music activities and the activities with children. The tenants and family stated the program was well maintained and the tenants’ apartments were kept clean. The tenants felt safe at the program and thought of the program as a big family. The tenants and family stated they could go to the RN or Administrator with any concerns or questions.

**Complaint History** – No complaints on file this certification period.

**On-Site Monitoring Evaluation** – The monitor(s) made the observations detailed in the following areas.

**A. Service Plan** – Program has an individualized service plan developed and updated for each tenant as required. [321 IAC 25.28]

Monitoring Observation: Review of four tenant records and interviews with the RN indicated the program had evaluated the tenants prior to taking occupancy but did not develop a preliminary service plan prior to taking occupancy. The program had developed a service plan within 30 days of admission for those tenants that received health related or personal care. The appropriate persons signed the service plans within 30 days. The service plans were individualized and included activities for all tenants. Review of the records indicated the service plans were updated as needed and annually.

- Regulatory Insufficiency: The program did not develop preliminary service plans for all tenants prior to taking occupancy.

**B. Transportation** – When the program provides transportation services directly or under contract with the program, the services shall be provided as required. [321 IAC 25.38]

Monitoring Observation: The program provided a vehicle for transportation to physician appointments and for outings. The vehicle was a mini-van that was owned by the program and could transport up to five people. The van was used on a regular basis and was equipped with a fire extinguisher, safety cones and first aid kit. The driver took a cell phone. According to staff interviews the program's Activity Director primarily drove the bus. The Activity Director had a regular State of Iowa Driver's License but needs a Class D Commercial Driver's License to drive the bus. The tenants stated in the community meeting that they enjoyed the outings.

- Regulatory Insufficiency: The program did not provide a staff member with appropriate licensure to drive the program van.

Dated this 29th day of November 2004.