

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Citation**

Citation Number: <b>#6008</b>		Date: <b>February 20, 2023</b>		
Facility Name: <b>Oakwood Specialty Care</b>		Survey Dates: <b>January 23, 2023 – January 31, 2023</b>		
Facility Address/City/State/Zip  <b>200 16<sup>th</sup> Ave E Albia, Iowa 52531</b>				
		<b>CP</b>		
<b>Rule or Code Section</b>	<b>Nature of Violation</b>	<b>Class</b>	<b>Fine Amount</b>	<b>Correction date</b>

<b>58.19(2)g</b>	<p><b>58.19(2) Medication and treatment.</b> The resident shall receive and the facility shall provide, as appropriate, the following required nursing services under the 24-hour direction of qualified nurses with ancillary coverage as set forth in these rules:  <b>58.19(2)</b> Medication and treatment.  <b>g.</b> Administration of oxygen (to be performed only by a registered nurse or licensed practical nurse or by a qualified aide under the direction of a registered nurse or licensed practical nurse); (I, II)</p> <p><b>DESCRIPTION:</b></p> <p>Based on clinical record review, family and staff interviews and facility policy review, the facility failed to assure that staff provided appropriate tracheostomy care to include the assessment of respiratory failure and failed to provide appropriate training to nursing staff on procedures during an accidental extubation (tracheostomy tube fall out), tracheostomy care and suctioning for 1 of 1 resident (Resident #12). This failure resulted in the transport by MedAir to a hospital and placed on mechanical ventilation therefore causing an Immediate Jeopardy to the health, safety, and security of the</p>	<b>Class I</b>	<b>\$8,250.00 (HELD IN SUSPENSION)</b>	<b>UPON RECEIPT</b>
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	<p>resident. The facility identified a census of 36 residents.</p> <p>Findings Include:</p> <p>1. The Quarterly Minimum Data Set (MDS) dated 10/27/22 documented Resident#12 had the diagnoses including diagnosis of heart failure, respiratory failure, tracheostomy, obesity and obstructive sleep apnea. The MDS documented that the resident required extensive assist with bed mobility, dressing and toilet use from 2 persons. Resident #12 had a Brief Interview for Mental Status (BIMS) of 15 out of 15 which suggested an intact cognition.</p> <p>The Care Plan dated 10/25/22 directed staff to administer oxygen as ordered, change the tubing as the protocol directs, provide humidification and suction as needed and monitor for changes that may indicate worsening respiratory status and report it to the physician. The care plan did not address the tracheostomy or care for tracheostomy.</p> <p>The physician order received by phone on 7/24/22, may suction trach every 4 hours and as needed every 4 hours.</p>			
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	<p>The physician order received on 7/27/22 directed staff to clean tracheostomy 1 time a week and prn, resident will clean tracheostomy other days, and change oxygen tubing weekly.</p> <p>The physician order received 10/21/22 directed staff to fill humidification chamber with distilled water every evening and as needed.</p> <p>On 11/19/22 Situation, Background, Assessment, Recommendation (SBAR) documentation at 8:20 AM, Staff B, Director of Nursing (DON) revealed a change of condition for Resident #12, shortness of breath, generalized weakness, skin discoloration, and an altered level of consciousness and reported vital signs of Blood pressure 128/88, pulse 75, respiration 20, temperature 98.9 and oxygen saturation 89% with recommendation of Emergency room.</p> <p>On 11/19/22 Focused Evaluation documentation at 5 PM Staff C, Registered Nurse (RN) revealed Resident #12 refused medication, experienced nausea, finger tips cyanotic (white color) and unable to obtain oxygen saturation, vital signs blood pressure 97/73, pulse 92, respiration 20, temperature 97.6, resident refused to have lung</p>			
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	<p>sound and abdomen assessed, notified physician, no new order, Resident #12 refused offer to go to the hospital during day shift 3 times.</p> <p>Document titled Mercy Medical Center Final Report dated 11/29/22 revealed Resident #12 with a chronic tracheostomy was treated in the Monroe County Emergency Room for acute hypoxia (absence of enough oxygen in the tissues to sustain bodily functions), was suctioned and a large amount of tan secretions returned, WBC count 21.1 (normal 4.5 - 11), chest x-ray revealed pulmonary (lung) congestion with bilateral pleural effusions (buildup of fluid in the tissues that line the lungs). Resident #12 was transported by AirMed to Mercy Hospital for diagnosis of severe hypoxic respiratory failure where they removed the tracheostomy tube and replaced it, performed a bronchoscopy (a procedure that lets the doctor look at the lungs and air passages) which revealed thick secretions in both lungs that were removed with suctioning. Resident #12 was placed on mechanical ventilation and intravenous (IV) antibiotic.</p> <p>On 1/24/23 at 11:32 AM, a family of Resident #12 stated the resident was in the intensive care unit on a ventilator due to pneumonia that she acquired at the nursing facility and the nursing facility would not</p>			
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	<p>take her back unless Resident #12 signed a do not resuscitate (DNR) form, and Resident #12 was not going to sign a DNR form, "she is still young and a fighter".</p> <p>During an interview on 1/25/23 at 3:08 PM Staff E, Licensed Practical Nurse (LPN), stated she worked the evening of 11/19/22 and did not receive information in report that Resident #12 was sick, a CNA reported Resident #12 was short of breath. Staff E stated found Resident #12's skin color to be ashen (gray), "hypoxic" and Resident #12 told Staff E she was afraid to go to the hospital. Staff E stated she looked for a nebulizer order and machine, could not find one and could not find suction catheters that were to be at bedside. Staff E stated, "That was the #1 problem, it was a disaster". Staff E stated Staff H notified 911 and the physician while she brought the crash cart to the room for fear that the resident would code. Staff E stated, "I'm an ER nurse and I had nothing to help her".</p> <p>During an interview on 1/25/23 at 4:25 PM Staff H, RN, stated she convinced Resident #12 to go to the hospital and called 911 on the evening of 11/19/22. Staff H stated she had knowledge of trach care before working at this facility and passed on several times in report that the trach needed to be plugged</p>			
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	<p>during the day time and open during the evening or more trach care would need to be done, and Resident #12 told her the day staff wouldn't plug it and the staff told her that Resident #12 refused to plug it. Staff H stated the obturator and tracheostomy cannula (tube) were not at bedside, as Staff M, Director of Nursing (DON) directed to have them kept in the crash cart which is in a closed room at the nurse's station. Staff H stated when the new DON came, that was not re-addressed. Staff H stated "The other nurses were nervous to take care of the trach, they did not know enough about it". Staff H stated the inner cannula of the tracheostomy tube was to be cleansed every day and she was aware that the resident was not doing it. Staff H stated, "She didn't have a mirror to suction or take it out, she wasn't doing it". Staff H stated she did not know who would place a new tracheostomy tube if it came but she had to do it one time for Resident #12 and the humidification container was empty several times when she came in to work.</p> <p>During an interview on 1/25/23 at 1:26 PM Staff N, LPN stated she did suction Resident #12's tracheostomy 1 time, "because someone else didn't know how to". Staff N stated Resident #12 was to perform her own tracheostomy care and had not</p>			
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	<p>actually seen Resident #12 do her own tracheostomy care, "I wasn't in her room much".</p> <p>During an interview on 1/25/23 at 12:30 PM, Staff B, (Interim DON #1), stated she had not performed tracheostomy care for Resident #12 while she was the DON at this facility and had not observed other nursing staff provide care for Resident #12. Staff B did not know what was at Resident #12's bedside for the tracheostomy care.</p> <p>During an interview on 1/24/23 at 2:55 PM Staff L, (interim DON) stated she started work at the facility a week after Resident #12 was transported to the hospital, had conversation with the hospital respiratory therapy department and Resident #12 was barley maintaining at 10 liters of oxygen and a full code status and had asked if Resident #12 could be weaned down to 7 or 8 liters of oxygen and was told it was not possible at that time. The DON stated a conversation with a female physician who asked if the facility would take Resident #12 if on a no code status, and the DON stated they would if a Do Not Resuscitate (DNR) status was obtained. The DON stated she had not spoken with family about the DNR status and it was not a mandate from her or corporate to make the resident a DNR.</p>			
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	<p>During an interview on 1/26/23 at 1:16 PM Staff O, LPN stated there was a lady who came in with the supplies the day before Resident #12 was admitted and she didn't get the training. Staff O stated Resident #12 would instruct how to do the care that she wanted, "I had no idea how to do it".</p> <p>Oxygen Policy dated October 2010 revealed:  Oxygen equipment #3. humidification bottle  Assessment #2 signs and symptoms of hypoxia (lack of oxygen)  Steps in procedure #9 check mask, tank and humidifying jar to be sure there is water in the humidifying jar and water level is high enough that the water bubbles as oxygen flows through  #11 periodically re-check water level in the humidifying jar and document oxygen flow rate.</p> <p>Tracheostomy care dated 2013 revealed:  General guidelines #2 gloves are to be clean and sterile  #6 a replacement tracheostomy tube must be available at the bedside at all times  #7 Suction machine and a supply of suction catheters, sterile gloves and flush solution must be at bed side at all times  Procedure guidelines #7 listen to lung sounds with a stethoscope</p>			
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	<p>Site and Stoma Care #2 clean stoma site with peroxide-soaked solution, rinse with saline soaked gauze, and disinfect stoma with antiseptic gauze, single sweep for each side, air dry, apply gauze around stoma site.</p> <p>During an interview on 1/26/23 at 12:55 PM, Staff P, LPN stated she had been assigned to the Relias training for tracheostomy care, visualized the binder with the training, oriented to the crash cart and its contents and is aware of the on-call nurse schedule to call for assistance if needed.</p> <p>During an interview on 1/26/23 at 12:58 PM Staff E, LPN stated she has completed an orientation training, reviewed the tracheostomy training, visualized the binder with the training and was oriented to the crash cart and its content.</p> <p>During an interview on 1/26/23 at 12:50 PM the Regional Director of Clinical Services stated that the care plans for tracheostomy residents will include detailed directions for the nurses.</p> <p>Observation on 1/26/23 at 12:50 PM the training book for tracheostomy care, oxygen humidification set up and suction set up was complete and had descriptive pictures was located at the nurse</p>			
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	<p>station. The crash cart located in the room connected to the nurse station was stocked with tracheostomy and suction supplies and the crash cart check off list located on the top of the crash cart.</p> <p>During an interview on 1/26/23 at 1:46 PM the Administrator stated evaluation of nursing staff will be completed and was confident the staff will be capable to care for future residents with a tracheostomy as there will be a follow up with staff and resident to be sure.</p> <p>During an interview/observation on 1/25/23 at 3:20 p.m., Staff D Corporate Nurse pointed out a suction machine in Room 67 which had Resident #12's name on it. The suction machine had a canister and tubing coming out of the canister but lacked a suction catheter.</p> <p><b>FACILITY RESPONSE:</b></p>			
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