

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Citation**

Citation Number: <b>#10319</b>		Date: <b>April 23, 2024</b>		
Facility Name: <b>Casa De Paz</b>		Survey Dates: <b>April 8, 2024 – April 11, 2024</b>		
Facility Address/City/State/Zip <b>2121 West 19<sup>th</sup> Sioux City, IA 51103</b>				
		DC		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

<b>58.11(3)</b>	<p><b>481—58.11(135C) Personnel.</b>  <b>58.11(3)</b> <i>Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III)</p> <p><b>Description:</b>  Based on personnel file reviews, staff interviews, and policy reviews the facility failed to complete the Iowa Criminal History, Iowa Sex Offender Registry, Iowa Central Abuse Registry and Professional License information prior to employment for 3 of 7 employees reviewed (Staff B, C, D). The facility census was 55.</p> <p>Findings include:</p> <p>On 4/10/24 Staff B, Cook's personnel file contained the Iowa Criminal History, Iowa Sex Offender Registry, Iowa Central Abuse Registry and Professional License information dated 1/24/24. Staff B was hired on 1/14/24.</p>	<b>II</b>	<b>\$500</b>	<b>Upon Receipt</b>
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Facility Administrator

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Date

**If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).**

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	<p>On 4/10/24 Staff C, Dietary Aide ' s personnel file contained the Iowa Criminal History, Iowa Sex Offender Registry, Iowa Central Abuse Registry and Professional License information dated 1/25/24. Staff C was re-hired on 1/14/24.</p> <p>On 4/10/24 Staff D, Cook's personnel file contained the Iowa Criminal History, Iowa Sex Offender Registry, Iowa Central Abuse Registry and Professional License information dated 1/25/24. Staff C was re-hired on 1/14/24.</p> <p>On 4/10/24 at 11:11 AM the Administrator stated that Staff B, C, D all began working directly for the facility on 1/14/24. Staff B, C, and D were previously working for the contracted services in the facility ' s kitchen. The facility had requested documentation from the contract services company, but they had refused to supply the requested information.</p> <p>On 4/10/24 at 4:00 PM the Administrator confirmed that Staff B, C. and D did begin work on 1/14/24 prior to the completion of the Iowa Criminal History, Iowa Sex Offender Registry, Iowa Central Abuse Registry and Professional License background check. The Administrator stated the paperwork had been submitted to the facility's corporate Human Resources</p>			
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	<p>Department (HR) for the completion of the background checks, but did not receive the results back prior to the employees beginning work. The Administrator confirmed the individuals were working already in the facility's kitchen for a contract company. The contract company did not share paperwork with the facility.</p> <p>On 4/11/24 at 8:03 AM requested the document for Staff D ' s ability to work for the background check completed on 1/25/24. The Administrator stated only Staff D ' s 3/30/24 ability to work document was in the employee file.</p> <p>On 4/11/24 at 9:25 AM the Administrator stated HR did not receive anything back from the Department of Health and Human Services (DHS) 1/25/24 background check for Staff D ' s ability to work. HR re-submitted Staff D ' s paperwork on 3/26/24 and received approval for right to work.</p> <p>The facility ' s "Onboarding and Status Change Process &amp; Divisions of Responsibilities" reveals background checks with Sterling and Iowa Sing were to be completed prior to onboarding packets being sent to the new employees.</p>			
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<p>The facility ' s "Abuse Prevention Program &amp; Reporting Policy" revealed that the facility screening would consist of, but not limited to abuse, neglect, exploitation, and criminal record for all potential employees prior to hire.</p> <p><b>Facility Response:</b></p>			
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Facility Administrator

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