

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Adult Services Civil Penalty Citation**

<b>Date:</b> August 3, 2021
<b>Program Name:</b> Cobblestone Court Assisted Living
<b>Address:</b> 3187 140 <sup>th</sup> Street Sumner, IA 50674
<b>Type of Action:</b> Recertification Visit
<b>Date(s) of Action:</b> 6/14/21 – 6/15/21

State Rule #	State Rule	Amount of Civil Penalty
481-67.19(3)	<p><b>67.19(3) Requirements for employer prior to employing an individual. Prior to employment of a person in a program, the program shall request that the department of public safety perform a criminal history check and the department of human services perform child and dependent adult abuse record checks of the person in this state.</b></p> <p>Based on interview and record review the Program failed to request the department of human services perform a child and dependent adult abuse record check prior to staffs' employment. This pertained to 6 of 6 staff reviewed (Staff A, B, C, D, E and F). Findings follow:</p> <p>1. Record review on 6-14-21 of Staff A's training documents revealed a hire date of 2-12-21. A Criminal History Record Check Request Form was returned on 2-11-21 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff A first worked on 2-13-21 at 7:00 a.m.</p> <p>2. Record review on 6-14-21 of Staff B's training documents revealed a hire date of 11-19-19. A Criminal History Record Check Request Form was returned on 11-18-19 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff B first worked on 11-20-19 at 5:29 p.m.</p> <p>3. Record review on 6-14-21 of Staff C's training documents revealed a hire date of 7-1-20. A Criminal History Record Check Request Form was returned on 6-25-20 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff C first worked on either 7-29-20 or 7-30-20 at 11:00 a.m.</p> <p>4. Record review on 6-14-21 of Staff D's training documents revealed</p>	<b>\$500.00</b>

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	<p>a hire date of 7-20-20. A Criminal History Record Check Request Form was returned on 7-17-20 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff D first worked on 7-20-20 at 7:00 a.m.</p> <p>5. Record review on 6-14-21 of Staff E's training documents revealed a hire date of 11-19-20. A Criminal History Record Check Request Form was returned on 11-9-20 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff E first worked on 11-18-20 at 3:50 p.m.</p> <p>6. Record review on 6-14-21 of Staff F's training documents revealed a hire date of 5-20-21. A Criminal History Record Check Request Form was returned on 5-14-21 and indicated no criminal history record was found. A background check for child and dependent adult abuse was not completed.</p> <p>When interviewed on 6-15-21 at 11:45 a.m. the Administrator revealed Staff F first worked on 5-18-21 at 3:00 p.m.</p> <p>7. When interviewed on 6-14-21 at 4:33 p.m. the Administrator confirmed the above finding.</p>	
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