

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Adult Services Civil Penalty Citation**

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| <b>Date:</b> February 13, 2023                                   |
| <b>Program Name:</b> Eagle Pointe Place                          |
| <b>Address:</b> 2700 Matthew John Drive Dubuque, IA 52002        |
| <b>Type of Action:</b> Revisit Investigations #97518-M & 97371-M |
| <b>Date(s) of Action:</b> 10/10/22 – 10/12/22                    |
| <b>Citation #5999</b>  |

| State Rule #          | State Rule  | Amount of Civil Penalty |
|-----------------------|---|-------------------------|
| IAC 481 –<br>67.19(5) | <p><b>67.19(5) Employment prohibition. A person who has committed a crime or has a record of founded child or dependent adult abuse shall not be employed in a program unless an evaluation has been performed by the department of human services.</b></p> <p>Based on interview and record review the Program failed to complete an evaluation by the Department of Human Services (DHS) for 5 of 5 staff reviewed with a criminal history (Staff A, Staff B, Staff C, Staff D, and Staff E). Findings include:</p> <ol style="list-style-type: none"> <li>1. On 10/12/22, a review of Staff A's employee file revealed a hire date of 9/12/22. The file included a single contact repository (SING) form originally completed on 8/29/22. The further research, completed on 8/30/22, indicated a criminal history had been located. No evaluation of this criminal history was on file by DHS. Staff A was hired on 9/12/22 and continued working as of 10/12/22 though no evaluation was completed.</li> <li>2. On 10/12/22, a review of Staff B's employee file revealed a hire date of 3/7/22. The file included a single contact repository (SING) form originally completed on 3/1/22. The further research, completed on 3/3/22, indicated a criminal history had been located. No evaluation of this criminal history was on file by DHS. Staff B was hired on 3/7/22 and continued working as of 10/12/22 though no evaluation was completed.</li> <li>3. On 10/12/22, a review of Staff C's employee file revealed a hire date of 8/22/22. The file included a single contact repository (SING) form originally completed on 7/25/22 . The further research, completed on 7/28/22, indicated a criminal history had been located. No evaluation of this criminal history was on file by DHS. Staff C was hired on 8/22/22 and continued working as of 10/12/22 though no evaluation was completed.</li> <li>4. On 10/12/22, a review of Staff D's employee file revealed a hire date of 9/19/22. The file included a single contact repository (SING)</li> </ol> | <b>\$500.00</b>         |

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|  | <p>form originally completed on 9/8/22. The further research, completed on 9/9/22, indicated a criminal history had been located. No evaluation of this criminal history was on file by DHS. Staff D was hired on 9/19/22 and continued working as of 10/12/22 though no evaluation was completed.</p> <p>5. On 10/12/22, a review of Staff E's employee file revealed a hire date of 10/10/22. The file included a single contact repository (SING) form originally completed on 10/5/22. The further research, completed on 10/6/22, indicated a criminal history had been located. No evaluation of this criminal history was on file by DHS. Staff E was hired on 10/10/22 and working as of 10/12/22 though no evaluation was completed.</p> <p>6. On 10/12/22 at 1:00 pm, the Director confirmed the DHS evaluations were not initiated as she was unaware of this process on her end as it was not trained on upon her taking her position. The Director indicated she would be doing this immediately.</p> |  |
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