

**Iowa Department of Inspections and Appeals
Health Facilities Division
Citation**

Citation Number: #5499		Date: December 29, 2021		
Facility Name: Accura Healthcare of Bancroft		Survey Dates: December 8-13, 2021		
Facility Address/City/State/Zip 546 E. Ramsey Street Bancroft, IA 50517		MW/DC		
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

58.11(3)	<p>481—58.11(135C) Personnel. 58.11(3) <i>Employee criminal record checks, child abuse checks and dependent adult abuse checks and employment of individuals who have committed a crime or have a founded abuse.</i> The facility shall comply with the requirements found in Iowa Code section 135C.33 as amended by 2013 Iowa Acts, Senate File 347, and rule 481—50.9(135C) related to completion of criminal record checks, child abuse checks, and dependent adult abuse checks and to employment of individuals who have committed a crime or have a founded abuse. (I, II, III)</p> <p>DESCRIPTION: Based on personnel file reviews, staff interviews, and facility policy review, the facility failed to obtain an evaluation by the Department of Human Services (DHS) prior to hire to determine if an employee with a criminal history could work in the facility for 1 of 5 current employees sampled (Staff C, Licensed Practical Nurse (LPN)) and ensure staff completed dependent adult abuse training within six months of hire (Staff D, Certified Nursing Assistant (CNA)). The</p>	II	\$500	Upon Receipt
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Facility Administrator

Date

If, within thirty (30) days of the receipt of the citation, you (1) do not request a formal hearing or; (2) withdraw your request for formal hearing, and (3) pay the penalty; the assessed penalty will be reduced by thirty-five percent (35%) pursuant to Iowa Code section 135C.43A (2013).

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	<p>facility reported a census of 19 residents.</p> <p>Findings include:</p> <p>1. The personnel file for Staff C, LPN documented a hire date of 9/30/2021. The Single Contact License and Background Check(SING) dated 9/29/2021 indicated a possible criminal hit for Staff C, LPN which required the Department of Criminal Investigation (DCI) to clarify if the prospective employee did or did not have a criminal history. The personnel record revealed Staff C, LPN received clearance on 10/1/21.</p> <p>Review of the Nursing schedule for September 2021 revealed Staff C, LPN scheduled for September 30, 2021.</p> <p>Interview on 12/13/21 at 2:39 p.m., with the Director of Nursing (DON) revealed Staff C, LPN worked the evening shift on 9/30/21.</p> <p>Review of facility provided policy titled Abuse Prevention, Identification, Investigation and reporting policy with an effective and updated date of 4/14/17 revealed the following information:</p> <p>* The facility shall screen all potential employees for a history of abuse, neglect, exploitation, misappropriation of property or mistreatment of residents.</p> <p>* This will be accomplished through the following</p>			
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	<p>(including maintaining documentation of such results):</p> <p>a. The facility will conduct an Iowa criminal record check and dependent adult/child abuse registry check on all prospective employees and other individuals engaged to provide services to residents, prior to hire, in the manner prescribed under 481 Iowa Administrative Code 58.11(3). The facility will conduct a criminal record check and dependent adult/child abuse registry check on all current employees and other individuals engaged to provide services to residents.</p> <p>Interview on 12/13/21 at 2:59 p.m., with the Administrator revealed the facility does not use a third party vendor for background checks and the facility did not have the clearance to have Staff C, LPN working.</p> <p>2. On 12/13/21 at 1:56 PM a review of Staff D's employee file revealed no Dependent Adult Abuse Training certification on file. Staff D had a hire date of 5/12/21.</p> <p>On 12/13/21 at 2:40 PM in an interview with the DON, the DON stated it is the expectation staff have completed the dependent adult abuse training within six months of hire.</p> <p>On 12/13/21 at 2:40 PM in an interview with the Business Office Manager (BOM), she stated she was aware the certification was absent from Staff D's file.</p>			
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	<p>The BOM stated it is the expectation to have the certificate on file within the employee files within six months of hire.</p> <p>The facility policy labeled Abuse Prevention, Identification, Investigation, and Reporting Policy dated 04/14/17, stated each employee shall be required to complete two hours of training related to the identification and reporting of dependent adult abuse within six months of initial employment.</p> <p>FACILITY RESPONSE:</p>			
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