Iowa Department of Inspections and Appeals
Health Facilities Division
Citation

Citation Number: **5431**			**Date:** **November 3, 2021**
Facility Name: **Sunrise Hill Care Center**		**Survey Dates:** **October 12 - 21, 2021**	
Facility Address/City/State/Zip **909 6th St** **Traer, IA 50675**	**JM**		

Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date
58.28(3)e **+**	**481—58.28(135C) Safety. The licensee of a nursing facility shall be responsible for the provision and maintenance of a safe environment for residents and personnel. (III)** **58.28(3)** ***Resident safety.*** ***e.*** **Each resident shall receive adequate supervision to protect against hazards from self, others, or elements in the environment. (I, II, III)**	**I**	**$5,000** **(Collected)**	**Upon Receipt**
58.19(1)	**481—58.19(135C) Required nursing services for residents.** The resident shall receive and the facility shall provide, as appropriate, the following required nursing services under the 24-hour direction of qualified nurses with ancillary coverage as set forth in these rules: **58.19(1)** *Activities of daily living.* *g.* Ambulation with equipment if applicable, or transferring, or positioning; (I, II, III)			

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	DESCRIPTION: Based on observation, clinical record review, and interview, the facility failed to provide adequate supervision to protect against self, others, or hazards in the environment for 3 of 4 residents reviewed (Resident #1, #2, #3). On 5/20/21, Staff J, Certified Nursing Assistant (CNA) walked with the resident toward the bed without using a gait belt around the resident's waist in accordance with facility policy. As Staff J pulled back the covers on the bed, the resident stumbled backward, fell into a cabinet, and slid to the floor. On 5/22/21, Resident #1 could not bear weight on the right leg and exhibited facial grimacing and staff sent Resident #1 to the Emergency Room (ER). The resident subsequently admitted to the hospital with a right hip fracture. The facility reported a census of 48 residents. Findings include: 1. According to the Minimum Data Set (MDS) assessment tool dated 4/15/21, Resident #1 had			

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	diagnoses that included Alzheimer's Disease and non-Alzheimer's dementia. The MDS documented staff could not administer the Brief Interview for Mental Status (BIMS) test because they could rarely/never understand the resident. The MDS also documented Resident #1 required extensive assist of 1 staff for bed mobility and dressing, and extensive assist of 2 staff for transfers, ambulation (walking), toilet use, and personal hygiene. The care plan initiated 2/5/21 documented Resident #1 required assistance with all activities of daily living (ADLs). An intervention updated on 4/23/21 directed to transfer the resident with assist of 1 staff. The progress notes contained the following entries: a. On 5/20/21 at 7:45 PM - Nurse summoned to unit. Staff reported they were walking the resident to bed and staff stopped to pull back the bedding. The resident then stumbled backward, their left shoulder fell into a cabinet, and the resident slid to floor. Staff assessed the resident's range of motion (ROM) as within normal limits (WNL) and found no signs/symptoms (s/s) of injury or skin issues. Two (2)			

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	staff then assisted the resident to transfer from the floor to the bed and noted no facial s/s of discomfort with movement and weight bearing. b. On 5/22/21 at 12:30 PM - Staff reported resident the required assist of 2 staff, needed a wheelchair to transport, and could not to bear weight on the right leg. The nurse documented the resident winced and exhibited facial grimacing with ROM to right leg, but able to perform ROM to left leg without difficulties. c. On 5/22/21 at 2:30 PM - Physician on call ordered staff to send Resident #1 to ER to evaluate and treat. d. On 5/22/21 at 5:48 PM - Staff placed call to hospital ER and spoke with staff: "She has a broken hip, we are admitting her." A Fall/Incident Report dated 5/20/21 7:45 PM, documented when staff walked Resident #1 to bed and then pulled the bedding back, the resident stumbled backwards, right shoulder fell against cabinet, and the resident slid to floor.			

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	A Radiology Report dated 5/22/21, documented an x-ray of Resident #1's right hip contained findings of a mid-cervical fracture, right femoral neck (fracture of right hip). During an interview on 10/14/21 at 3:16 PM, Staff J, CNA stated she was in Resident #1's room with the resident and was going to assist the resident to bed. Staff J, CNA stated the resident flared with her arms, lost her balance, fell, and landed on her right hip: more on her side than her bottom. Staff J stated she did not have a gait belt on the resident, did not know the resident needed assist of 2 staff. She added she thought Resident #1 needed stand-by assistance only. Staff J, CNA stated, "I probably should have put a gait belt on her." The Gait Belt facility policy updated 7/29/06 directed staff to use a gait belt for all residents requiring assistance with transfers and/or ambulation. It serves as a handle to grasp if the resident begins to fall, to help prevent the fall, or control the resident's descent.			

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	During an interview on 10/14/21 at 11:25 AM, Staff L, CNA stated prior to fracturing her hip, Resident #1 required assist of 1 staff with a gait belt, for transfers and ambulation. During an interview on 10/14/21 at 11:58 AM, Staff M, CNA stated prior to fracturing her hip, Resident #1 required assist of 1 staff with a gait belt, for transfers and ambulation. During an interview on 10/19/21 at 12:10 PM, Staff K, CNA stated if she needed to know how to transfer a resident, she would review the care plan books located at the nurses' station. Staff K, CNA stated she does not carry a CNA information sheet for her hall. During an interview on 10/19/21 at 12:45 PM, the Assistant Director of Nursing (ADON) stated the facility provides CNAs information hall sheets if they want them, although some CNAs do not carry them. The information sheets contain directives related to whether or not a resident experiences urinary incontinence or uses Ted Hose, glasses, or dentures, how many staff required for assistance.			

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	During an interview on 10/18/21 at 12:12 PM, the ADON stated expectation that anytime staff have to help a resident to transfer, the staff are to use a gait belt and if a resident is care planned for assist of 1 or 2 for transfers, should always use a gait belt. Review of statement signed by ADON, dated 10/19/21, documented the ADON spoke with the Director of Nursing (DON) and the DON stated she discussed Resident#1's fall with Staff J, CNA and Staff J, CNA admitted she should not have let go of the resident and did not have a gait belt on the resident. 2. According to the MDS assessment dated 9/16/21, Resident #2 had diagnoses that included diagnoses of Alzheimer's disease and diabetes. The MDS documented the resident needed extensive assist of 1 staff for bed mobility, transfers, dressing, toilet use, and personal hygiene. The MDS revealed the resident scored 3 of 15 possible points on the BIMS test, which meant the resident demonstrated severely impaired cognitive abilities.			

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	During an observation in the living room on 10/12/21 at 11:22 AM, Staff A, CNA transferred Resident #2 from the couch to a wheelchair by placing their hand/arm under the resident's arm and pulling up on the resident. Staff A, CNA did not use a gait belt to transfer the resident. Review of Resident #2's care plan initiated 7/1/21 documented a focus of ADL/Falls with an intervention updated on 8/19/21 that directed staff to transfer the resident with assist of 1 person. During an interview on 10/19/21 at 2:30 PM, the ADON reported the facility's expectation for staff to transfer Resident #2 with a gait belt and assist of 1 staff. 3. According to the MDS assessment dated 8/5/21, Resident #3 had diagnoses that included anxiety disorder, depression, and other fracture. The MDS documented the resident needed limited assist of 1 staff for transfers and extensive assist of 1 staff for bed mobility, ambulation, dressing, toilet use, and personal hygiene. The MDS also documented the resident scored 12 of 15 possible points on the BIMS			

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	test which meant the resident displayed moderate cognitive impairment. Review of a care plan initiated 8/12/21 documented a focus of self-care/ambulation/falls with a history of left femur (upper leg bone) fracture from a fall prior to admission. A care plan updated 9/2/21 documented Resident #3's has been transferring by themselves and also taking themselves to the toilet, despite education regarding the need for assist and alarm placed for safety. A care plan intervention added 9/2/21 directed staff to ensure placement/functioning of alarms every shift. An observation on 10/19/21 at 10:50 AM revealed Resident #3 sat in her wheelchair in the living room with a pressure alarm pad under her which flashed green. The progress notes contained the following entries: a. 10/4/21 at 1:45 PM - Nurse summoned to Resident #3's room by staff and found the resident on both (bilateral) knees on the floor in front of the bed; resident not sure what she was doing and denies pain			

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	or hitting head. Resident placed in wheelchair with alarm on and functioning correctly, and taken to the living room for an activity. b. 10/5/21 at 10:19 AM - Resident noted with intact red/purple bruise which measured 2.5 centimeters (cm) x 8.5 cm to lateral left hip related to fall sustained on 10/4/21. c. 10/6/21 at 8:49 AM - Resident noted with intact purple bruise that measured 8 cm x 4.3 cm to medial right hand related to fall that occurred 10/4/21. Review of the fall/incident report, dated 10/4/21 at 1:45 PM, documented Resident #3 found on bilateral knees in front of bed. During an interview on 10/14/21 at 11:40 AM, Staff L, CNA, stated on 10/4/21 a resident in the hallway reported Resident #3 was on the floor. Staff L reported she went to Resident#3's room with Staff N, CNA and observed the resident on the floor. Staff L reported she was unsure if the resident's alarm positioned in her recliner was going off or not. Staff L			

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	stated there is a frequent beep when the alarm battery is going dead and then the battery is replaced. During an interview on 10/14/21 at 2:22 PM, Staff O, LPN stated on 10/4/21, she found Resident #3 on the floor on both of her knees. Staff O stated the alarm was not going off when she found the resident and the alarm did not go off while it was in the recliner. Staff O stated after caring for the resident, she changed the batteries in the alarm and the alarm did work. Staff O stated they check alarms on rounds and at shift change and the nurses sign off to show the alarms are working every shift, because they are supposed to be checked every shift. During an interview on 10/19/21 at 10:53 AM, Staff N, CNA stated on 10/4/21 she was walking by Resident #3's room and observed the resident on the floor on her knees. Staff N, CNA stated the alarm was in the resident's recliner but was not going off, and she reported the alarm not going off to Staff P, LPN. Review of facility's "Personal Alarm Monitor Sheet" for Resident #3 documented, signature acknowledges alarm is correctly placed and functioning, and			

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	appropriate use for this resident with 10/4/21 days initialed by Staff O, LPN. During an interview on 10/14/21 at 2:45 PM, Staff P, LPN stated alarms are checked at the beginning of the shift to make sure in place, but she does not always check to be sure the alarms are turned on. Staff P, LPN also stated she documented in the book every night that alarms were on and present, but she does not always verify the alarms are in working order every shift even though she signs off in the book as completed. During an interview on 10/19/21 at 11:10 AM, Staff O, LPN stated on 10/4/21, she checked Resident #3's alarm at the beginning of her shift (6 AM-10 PM) and heard the alarm going off when staff got the resident up that morning and other times throughout the day. Staff O, LPN stated CNAs from the 2 shifts, at shift change, check the alarms together, then she walks the halls later in her shift to check the alarms and checks the alarms again at bedtime. Staff O, LPN stated she always checks the alarms herself and signs off in the book, as the facility has a policy to check alarms.			

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	Review of facility's policy titled "Personal Alert Monitors", updated 8/28/06, documented: Purpose to alert staff to any potential risky behaviors a resident may have that could result in a fall. Each shift, the charge nurse documents that the monitor is present and functioning properly. Be aware when the monitor seems to be sounding weaker as this could indicate the battery needs to be changed. **FACILITY RESPONSE:**			

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