

**Iowa Department of Inspections and Appeals  
Health Facilities Division  
Citation**

Citation Number: 1039		Date: July 19, 2021		
Facility Name: Tanager Place		Survey Dates: March 8, 2021 – June 23, 2021		
Facility Address/City/State/Zip 2309 C Street Cedar Rapids, Iowa 52404		LK	#96316-I	
Rule or Code Section	Nature of Violation	Class	Fine Amount	Correction date

<b>64.60</b>	<p><b>481-64.60(135C) Federal regulations adopted - conditions of participation. Regulations in 42 CFR Part 483, Subpart D, and Sections 410 to 480 effective October 3, 1988, are adopted by reference and incorporated as part of these rules. A copy of these regulations is available on request from the Health Facilities Division, Department of Inspections and Appeals, Lucas State Office Building, Des Moines, Iowa 50319.</b></p> <p><b>Classification of violations is I, II, and III, determined by the division using the provision in 481-Chapter 56, Fining and Citations,” to enforce a fine to cite a facility.</b></p> <p><b>This rule is intended to implement Iowa Code Section 135C.2(3).</b></p> <p><b>DESCRIPTION</b></p> <p><b>Staff must be able to demonstrate the skills and techniques necessary to administer interventions to manage the inappropriate behavior of clients.</b></p> <p>Based on observations, interviews, and record review the facility failed to ensure staff consistently demonstrated the appropriate skills</p>	<b>I</b>	<b>\$5,000.00</b>	<b>Upon receipt</b>
<b>W193</b>				

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Facility Administrator

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	<p>to manage inappropriate client behavior. This affected 2 of 2 clients identified as a result of facility self-reported incident #96136-I (Client #6 and Client #18). Findings follow:</p> <p>1. Record review on 3/8/21 revealed Client #18's Incident Report (IR) dated 1/20/21. The IR indicated at 5:00 p.m., Client #18 approached Youth Services Worker (YSW) E and reported she swallowed a toothbrush earlier in the day. Client #18 told YSW E she accessed the toothbrush because the lock on her personal cabinet was broken.</p> <p>Observation at Terry Cottage on 3/8/21 at 3:20 p.m. revealed a row of locked cabinets with client names on the wall. YSW E noted client toothbrushes and hygiene items were kept in one locked cabinet, including Client #18's toothbrush. Client #18 kept other personal items in another locked cabinet for safety from ingestion, referred to as her personal cabinet. YSW E confirmed Client #18 reported she swallowed a toothbrush on 1/20/21 and YSW E accompanied her to the hospital.</p> <p>When interviewed on 3/9/21 at 4:45 p.m., Client #18 said she obtained the toothbrush she swallowed from her personal cabinet. She said</p>			
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	<p>she lost a toothbrush and later found it so she put the toothbrush in her unlocked personal cabinet. Client #18 said she later felt suicidal, retrieved the toothbrush from the unlocked cabinet and swallowed it.</p> <p>Record review revealed Client #18, 15 years old, had diagnoses including mild intellectual disability, oppositional defiant disorder (ODD), moderate recurrent major depressive disorder, and generalized anxiety disorder. Client #18's D&amp;E (diagnosis and evaluation) Staffing occurring 9/8/20 indicated she had an extensive history of psychiatric hospitalization, primarily due to impulsive behavior and voicing suicidal ideation. The document further noted, "(Client #18) does engage in frequent self-harm; this includes picking at scabs and sores, swallowing small items such as batteries, wrappers, and paperclips, attempt to swallow large items such as toothbrushes and knives, cutting, and scratching."</p> <p>Continued record review revealed medical records for Client #18 indicated she had been taken to the local emergency department (ED) 1/20/21. Due to concerns of ingestion of a foreign body, an abdominal x-ray was obtained, which did not reveal a foreign body. A CT scan of the</p>			
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	<p>abdomen and pelvis were obtained which revealed a toothbrush within the stomach with no evidence of gastric perforation. A second foreign body was also visualized within the stomach, but could not be identified. At that time the client was transferred to another hospital for further evaluation.</p> <p>Continued review of medical records revealed upon arrival to the second hospital Client #18 endorsed having suicidal ideations when swallowing the toothbrush, but felt remorseful after the act. On 1/21/21 Client #18 underwent an endoscopy procedure "to remove the ingested long foreign body as these are not likely to pass from the stomach and can be associated with complications." Records indicated three foreign bodies were removed, including: a toothbrush, a plastic spoon, and a piece of a pen.</p> <p>Client #18 was ultimately court ordered to remain at the hospital until a psychiatric bed was secured.</p> <p>Further record review on 3/8/21, revealed Client #18's Individual Program Plan (IPP) to display safe behaviors. The IPP noted a history of swallowing items including toothbrushes. The IPP included interventions to promote Client #18's</p>			
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	<p>overall safety, including locking items in a personal cabinet.</p> <p>When interviewed on 3/10/21 at 9:00 a.m. YSW H confirmed the lock on Client #18's personal cabinet was broken at the time of the ingestion incident. She said she wrote a maintenance request to fix the lock prior to the incident</p> <p>Record review on 3/10/21 revealed a maintenance request dated 1/6/21, written by YSW H. The request noted a personal cabinet did not lock. The document identified a resolution date of 2/26/21.</p> <p>When interviewed on 3/10/21 at 1:35 p.m., the Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/ID) Manager confirmed the facility failed to repair the lock on Client #18's personal cabinet in a timely manner.</p> <p>2. Record review on 3/8/21 revealed Client #6's Incident Report (IR) dated 1/20/21. According to the IR, Client #6 engaged in physically aggressive behaviors and Youth Service Worker (YSW) F "escorted" him to his room.</p>			
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	<p>Record review on 3/9/21 revealed Client #6's Individual Program Plan (IPP) to learn and utilize healthy and effective emotion regulation skills. The IPP addressed Client #6's physical aggression and directed staff to ask him to take a break, and if the aggression continued, to escort him to a safe place.</p> <p>Observation on 3/9/21 revealed a video recording of the incident involving Client #6 and YSW F on 1/20/21. Viewing of the video revealed Client #6 struck YSW F in the face twice and YSW F grabbed his wrists, got behind him and walked with him down the hall still holding his wrists.</p> <p>When interviewed on 3/9/21 at 8:10 a.m., YSW G confirmed she worked with Client #6 and described an escort as staff putting a hand on his elbow and the other hand on his opposite hip, and then guiding him to a safe place, usually his bedroom.</p> <p>When interviewed on 3/9/21 at 1:35 p.m., the Sinclair Cottage Assistant Supervisor (SAS) stated he worked with Client #6 and confirmed he engaged in physical aggression toward staff. He said staff should repeat prompts to take a break and escort him to a safe place. He described an escort as staff placing a hand on Client #6's</p>			
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	<p>elbow and another hand on his opposite hip. The SAS indicated holding or grabbing Client #6's hands/wrists during an escort would not be an acceptable technique.</p> <p>When interviewed on 3/9/21 at 4:35 p.m., the Sinclair Cottage Supervisor confirmed knowledge of Client #6's physical aggression and said staff should escort him if/when his aggression escalated to harming himself or others. She described an escort as staff placing a hand on his elbow and a hand on his hip to guide him to safety. She said grabbing and holding Client #6 by his wrists would not be an appropriate technique to escort him down the hall.</p> <p>When interviewed on 3/10/21 at 8:10 a.m., the Health and Practice Manager (HPM) confirmed she viewed the video of the incident between Client #6 and YSW F. She confirmed YSW F placed his hands on Client #6's wrists and failed to use an approved technique when Client #6 aggressed toward him on 1/20/21.</p> <p><b>FACILITY RESPONSE</b></p>			
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